

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

In Re: Robertson Taylor (North America) Inc

330 Seventh Ave. 9th Fl. New York, NY 10001 File Number 08A000937

VOLUNTARY FORFEITURE

It is hereby agreed by Robertson Taylor (North America) Inc and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, Linda Bohrer is the duly appointed Acting Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri, (hereinafter, Director) whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo 2000 and is authorized by the Director to recommend

enforcement action under the laws relating to insurance;

WHEREAS, Robertson Taylor (North America) Inc has applied to renew its license as a business entity producer with the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapters 374 and 375, RSMo;

WHEREAS, information has been received by the Consumer Affairs Division which alleges that Robertson Taylor (North America) Inc failed to report an administrative action within thirty days of the final disposition of the matter, in violation of Section 375.141.6, RSMo (Cum. Supp. 2007), subjecting Robertson Taylor (North America) Inc to enforcement action by the Director;

WHEREAS, Robertson Taylor (North America) Inc has been informed of the nature of the violation, of its right to counsel and of its right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline its license, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that it understands its rights to contest any such actions;

NOW, THEREFORE, in complete settlement of the investigation in File Number 08A000937, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to deny the renewal license of Robertson Taylor (North America) Inc, or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, Robertson Taylor (North America) Inc does hereby voluntarily and knowingly surrender and forfeit the sum of \$250.00, such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

Robertson Taylor (North America) Inc shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than December 12, 2008.

DATED: 12-19-08

Acting Director

Taylor (North America) Inc

Director of Consumer Affairs

Please return original to: Karen Crutchfield PO Box 4001 Jefferson City, MO 65102