



DEPARTMENT OF COMMERCE & INSURANCE

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: THOMAS KNOTT
152 WESTMOR ST.
CLINTON, MO 65735-1785

TRACKING ID 346206

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Thomas Knott and the Division of Consumer Affairs ("Division") of the Department of Commerce and Insurance, as follows:

WHEREAS, Chlora Lindley-Myers, is the duly appointed Director of the Department of Commerce and Insurance, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Division is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Thomas Knott ("Knott") has a resident individual producer license with the Department, pursuant to Chapter 375, RSMo:

WHEREAS, the Division has received information concerning Knott's failure to be responsible while acting in a fiduciary capacity to an applicant for insurance, which is a violation of Section 375.051.2, RSMo (2016), and subjects Knott to enforcement action by the Director:

WHEREAS, Knott has been informed of his right to counsel and of his right to contest any attempt by the Department to discipline his insurance producer license, and states that he understands his rights to contest any such actions:

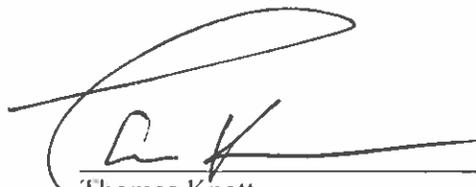
AND WHEREAS, Knott acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Division based on an additional violation of the insurance laws or regulations by Knott, in which action the Director or the Division alleges that the violation described herein forms part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Knott are committed knowingly, intentionally or in conscious disregard of the law, that he failed to be responsible while acting in a fiduciary capacity to an applicant for insurance and that such conduct violated Section 375.051.2, RSMo:

NOW, THEREFORE, in lieu of any recommendation or initiation by the Division of any action based on the violation cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Knott does hereby voluntarily and knowingly surrender and forfeit the sum of two hundred fifty dollars (\$250.00), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

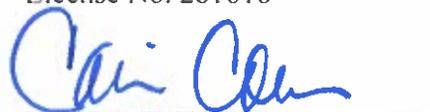
Knott shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than October 14, 2019.

The parties agree that, should the Director or the Division in the future allege a different violation of the insurance laws or regulations by Knott, nothing in this Agreement shall preclude the Director or the Division from introducing Knott's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 11/13/2019


Thomas Knott
License No. 281616

DATED: 1/30/2020


Carrie Couch, Director
Division of Consumer Affairs

DATED: 01.31.2020


Chlora Lindley-Myers, Director
Department of Commerce and Insurance

Return original to:
Karen Crutchfield
Missouri Department of Commerce and Insurance
PO Box 4001
Jefferson City, MO 65102