



**DEPARTMENT OF INSURANCE, FINANCIAL  
INSTITUTIONS AND PROFESSIONAL REGISTRATION**

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: OZARK ABSTRACT LLC DBA  
OZARK ABSTRACT & TITLE  
203 N. 2<sup>ND</sup> AVENUE  
OZARK, MO 65721

TRACKING ID 315655

**VOLUNTARY FORFEITURE AGREEMENT**

It is hereby agreed by Ozark Abstract, LLC dba Ozark Abstract & Title (“Ozark”) and the Division of Consumer Affairs of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, Chlora Lindley-Myers, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, “Director” of the “Department”), whose duties, pursuant to Chapters 374, 375 and 381, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Division of Consumer Affairs (“Division”) of the Department is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to

recommend enforcement action under the laws relating to insurance;

WHEREAS, Ozark currently holds an active license as a business entity producer with the Department, pursuant to Chapter 375, RSMo;

WHEREAS, the Division has received information concerning Ozark's failure to timely file the Annual Financial Interest Report and Affiliated Arrangement Report that were due March 31, 2018, which is a violation of Sections 381.029.3 and 381.029.4, RSMo (Supp. 2013) and Missouri regulation 20 CSR 500-7.070 and subjects Ozark to enforcement action by the Director;

WHEREAS, Ozark has been informed of its right to counsel and of its right to contest any attempt by the Department to discipline its insurance producer license, and states that it understands its rights to contest any such actions;

AND WHEREAS, Ozark acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Division based on any additional violation of the insurance laws or regulations by Ozark, in which action the Director or the Division alleges that the violations described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Ozark are committed knowingly, intentionally or in conscious disregard of the law, that it failed to timely file the Annual Financial Interest Report and Affiliated Arrangement Report that were due March 31, 2018, which is a violation of Sections 381.029.3 and 381.029.4, RSMo (Supp. 2013) and Missouri regulation 20 CSR 500-7.070.

NOW, THEREFORE, in lieu of any recommendation or initiation by the Division of any action based on the violations cited in this Agreement, and after being afforded the

opportunity to consult legal counsel, Ozark does hereby voluntarily and knowingly surrender and forfeit the sum of two hundred fifty dollars (\$250.00), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Ozark shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than June 29, 2018.

The parties agree that, should the Director or the Division in the future allege an additional violation of the insurance laws or regulations by Ozark, nothing in this Agreement shall preclude the Director or the Division from introducing Ozark's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 6/27/18

Keith Chaffin Former member

Ozark Abstract, LLC dba Ozark Abstract &

Title Keith Chaffin

By: former managing member

Its:

License No. 8005979

DATED: 7/16/18

Carrie Couch

Carrie Couch, Director

Division of Consumer Affairs

DATED: 7/19/18

Chlora Lindley-Myers

Chlora Lindley-Myers, Director

Department of Insurance, Financial

Institutions and Professional

Registration

Return original to:  
Marjorie Thompson  
Missouri Department of Insurance,  
Financial Institutions and Professional Registration  
PO Box 4001  
Jefferson City, MO 65102