

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE:

LEIGH MONTGOMERY 2020 W 89TH STREET LEAWOOD, KS 66206

TRACKING ID: 225223 (E)

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Leigh Montgomery and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Leigh Montgomery ("Montgomery") has a non-resident individual insurance producer license with the Department, pursuant to Chapter 375, RSMo;

WHEREAS, the Consumer Affairs Division has received information concerning Montgomery's failure to disclose a guilty plea on the producer application, which is a violation of Section 374.210.1(1), RSMo (Supp. 2013), and subjects Montgomery to enforcement action by the Director;

WHEREAS, Montgomery has been informed of her right to counsel and of her right to contest any attempt by the Department to revoke her insurance producer license, and states that she understands her rights to contest any such actions;

AND WHEREAS, Montgomery, acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Consumer Affairs Division based on a future violation of the insurance laws or regulations by Montgomery, in which action the Director or the Consumer Affairs Division alleges that the violation described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Montgomery are committed knowingly, intentionally or in conscious disregard of the law, by answering "No" to question number one of her initial application in 2011 and on her 2013 renewal application, even though she had entered into a guilty plea, that such conduct violated Section 374.210.1(1), RSMo.

NOW, THEREFORE, in lieu of any recommendation or initiation by the Consumer Affairs Division of any action based on the violations cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Montgomery does hereby voluntarily and knowingly surrender and forfeit the sum of five hundred dollars (\$500.00), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Montgomery shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than October17, 2014.

The parties agree that, should the Director or the Division in the future allege a different violation of the insurance laws or regulations by Montgomery, nothing in this Agreement shall preclude the Director or the Consumer Affairs Division from introducing Montgomery's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 125/2014

DATED: 10/20/14

Leigh Montgomery

Carrie Couch, Acting Director Consumer Affairs Division

John M. Huff, Director

Department of Insurance, Financial Institutions and Professional Registration

Return original to:
Jodi Lehman
Missouri Department of Insurance,
Financial Institutions and Professional Registration
PO Box 4001
Jefferson City, MO 65102