

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: GREGORY MORRIS 344 20TH ST., STE. 310

OAKLAND, CA 94612-3525

TRACKING ID 308708

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Gregory Morris and the Division of Consumer Affairs ("Division") of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, Chlora Lindley-Myers, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Division is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Gregory Morris ("Morris") has applied to renew his nonresident individual producer license with the Department, pursuant to Chapter 375, RSMo;

WHEREAS, the Division has received information concerning Morris's failure to file his 2016 Appendix 3 Surplus Lines Report in a timely manner and his failure to respond to inquiries by the Division, which are violations of Section 384.057.1, RSMo (Supp. 2013) and 20 CSR 100-4.100(2)(A), and subjects Morris to enforcement action by the Director;

WHEREAS, Morris has been informed of his right to counsel and of his right to contest any attempt by the Department to refuse to renew his insurance producer license, and states that he understands his rights to contest any such actions;

AND WHEREAS, Morris acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Division based on an additional violation of the insurance laws or regulations by Morris, in which action the Director or the Division alleges that the violations described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Morris are committed knowingly, intentionally or in conscious disregard of the law, that he failed to file his 2016 Appendix 3 Surplus Lines Report in a timely manner and he failed to respond to inquiries by the Division and that such conduct violated Section 384.057.1, RSMo (Supp. 2013) and 20 CSR 100-4.100(2)(A);

NOW, THEREFORE, in lieu of any recommendation or initiation by the Division of any action based on the violation cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Morris does hereby voluntarily and knowingly surrender and forfeit the sum of \$500.00 (five hundred dollars), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Morris shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than February 2, 2018.

The parties agree that, should the Director or the Division in the future allege a different violation of the insurance laws or regulations by Morris, nothing in this Agreement shall preclude the Director or the Division from introducing Morris's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 2/5/18

DATED: 2/16/18

DATED: 02.21.20/0

Gregory Morris

License No. 8123945

Carrie Couch, Director

Division of Consumer Affairs

Chlora Lindley-Myers, Director

Department of Insurance, Financial

Institutions and Professional Registration

Return original to: Karen Crutchfield

Missouri Department of Insurance,

Financial Institutions and Professional Registration

PO Box 4001

Jefferson City, MO 65102