



## DEPARTMENT OF COMMERCE & INSURANCE

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: FORTRESS BROKERAGE SOLUTIONS LLC  
6190 POWERS FERRY ROAD, SUITE 505  
ATLANTA, GA 30339

Tracking ID 361379 E

### VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Fortress Brokerage Solutions LLC ("FBS") and the Division of Consumer Affairs ("Division") of the Department of Commerce and Insurance, as follows:

WHEREAS, Chlora Lindley-Myers, is the duly appointed Director of the Department of Commerce and Insurance, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Division is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, FBS has applied for a renewal of its Non-Resident Business Entity Producer license with the Department, pursuant to Chapter 375, RSMo;

WHEREAS, the Division has received information concerning FBS's misstatement on its license application in violation of Section 374.210.1(1) RSMo and subjects FBS to enforcement action by the Director;

WHEREAS, FBS has been informed of its right to counsel and to its right to contest any attempt by the Department to refuse to issue its insurance producer license, and states that FBS understands its rights to contest any such actions;

AND WHEREAS, FBS acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Division based on an additional violation of the insurance laws or regulations by FBS, in which action the Director or the Division alleges that the violations described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by FBS are committed knowingly, intentionally or in conscious disregard of the law, that FBS made a misstatement on its license application in violation of Section 374.210.1(1) RSMo;

NOW, THEREFORE, in lieu of any recommendation or initiation by the Division of any action based on the violation cited in this Agreement, and after being afforded the opportunity to consult legal counsel, FBS does hereby voluntarily and knowingly surrender and forfeit the sum of three hundred dollars (\$300.00), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

FBS shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than July 13, 2020.

The parties agree that, should the Director or the Division in the future allege an

additional violation of the insurance laws or regulations by FBS, nothing in this Agreement shall preclude the Director or the Division from introducing FBS's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 7/8/2020

  
Fortress Brokerage Solutions LLC  
By : Michael Baratta, President  
License # 8448799

DATED: 8/12/20

  
Carrie Couch, Director  
Division of Consumer Affairs

DATED: 08.12.2020

  
Chlora Lindley-Myers, Director  
Department of Commerce and Insurance

Return original to:  
Sheri D. Sloan  
Missouri Department of Commerce and Insurance  
PO Box 4001  
Jefferson City, MO 65102

It is hereby agreed by Fortress Brokerage Solutions LLC ("FBS") and the Division of Consumer Affairs ("Division") of the Department of Commerce and Insurance, as follows:

WHEREAS, Chlora Lindley-Myers, is the duly appointed Director of the Department of Commerce and Insurance, State of Missouri (hereinafter, "Director" of the "Department"),

Whence

THIS DOCUMENT CONTAINS A TRUE WATERMARK - HOLD UP TO LIGHT TO VIEW

WESTERN UNION FINANCIAL SERVICES INC. - ISSUER - Englewood, Colorado

Payable at Wells Fargo Bank Grand Junction - Downtown, N.A. Grand Junction, Colorado

Western Union WU

PUBLIX #0753 8-7-00 / FS Consumer 19-129109648

CHECK BEING HELD \$ 300.00

IN FISCAL SERVICES

PAY EXACTLY THREE HUNDRED DOLLARS AND NO CENTS

PAY TO THE ORDER OF State School Monies Fund

1190 Powers Ferry Rd SE Atlanta, GA 30339

File # 1510319

JUDY A. LINDLEY-MYERS

PROCESSED BY WESTERN UNION FINANCIAL SERVICES INC. IN ACCORDANCE WITH THE RESERVE BANK

102100400: 4019129109648511

anies  
ad is  
g to