



DEPARTMENT OF COMMERCE & INSURANCE

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: ALPHA TITLE GUARANTY, INC.
306 SE 291 HIGHWAY
LEE'S SUMMIT, MO 64063

TRACKING ID# 400090

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Alpha Title Guaranty, Inc. ("Alpha Title") and the Division of Consumer Affairs of the Department of Commerce and Insurance, as follows:

WHEREAS, Chlora Lindley-Myers, is the duly appointed Director of the Department of Commerce and Insurance, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374, 375 and 381, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Division of Consumer Affairs ("Division") of the Department is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Alpha Title currently holds an active license as a business entity producer

with the Department, pursuant to Chapter 375, RSMo;

WHEREAS, the Division has received information concerning Alpha Title's failure to:

- Timely issue a title policy to the insureds in violation of Section 381.038.3, RSMo and Missouri Regulation 20 CSR 500-7.090(2);
- Adequately and timely respond to an inquiry from the Department, in violation of Missouri Regulation 20 CSR 100-4.100(2)(a).

WHEREAS, Alpha Title has been informed of its right to counsel and of its right to contest any attempt by the Department to discipline its insurance producer license, and states that it understands its rights to contest any such actions;

AND WHEREAS, Alpha Title acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Division based on any additional violation of the insurance laws or regulations by Alpha Title, in which action the Director or the Division alleges that the violations described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Alpha Title are committed knowingly, intentionally or in conscious disregard of the law, that it failed to:

- Timely issue a title policy to the insureds in violation of Section 381.038.3, RSMo and Missouri Regulation 20 CSR 500-7.090(2);
- Adequately and timely respond to an inquiry from the Department, in violation of Missouri Regulation 20 CSR 100-4.100(2)(a).

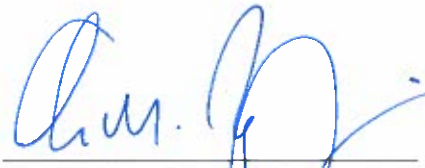
NOW, THEREFORE, in lieu of any recommendation or initiation by the Division of any action based on the violations cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Alpha Title does hereby voluntarily and knowingly surrender and forfeit the sum of one thousand two hundred dollars (\$1,200.00), such sum to be paid into the State

School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Alpha Title shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than August 12, 2022.

The parties agree that, should the Director or the Division in the future allege an additional violation of the insurance laws or regulations by Alpha Title, nothing in this Agreement shall preclude the Director or the Division from introducing Alpha Title's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 8/16/2022



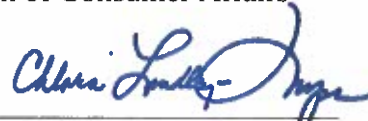
Alpha Title Guaranty Inc.
By: Chris Rodriguez
Its: President
NPN# 18251931

DATED: 8/25/22



Carrie Couch, Director
Division of Consumer Affairs

DATED: 8/26/2022



Chlora Lindley-Myers, Director
Department of Commerce and Insurance

Return original to:
Chelsea Holtmeyer
Missouri Department of Commerce and Insurance
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Jefferson City, MO 65102