



**DEPARTMENT OF INSURANCE, FINANCIAL  
INSTITUTIONS AND PROFESSIONAL REGISTRATION**

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: EMPLOYEE BENEFIT MANAGEMENT CORP  
4789 RINGS ROAD  
DUBLIN, OH 43017

TRACKING ID 338276(E)

**VOLUNTARY FORFEITURE AGREEMENT**

It is hereby agreed by Employee Benefit Management Corp. and the Division of Consumer Affairs (“Division”) of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, Chlora Lindley-Myers, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, “Director” of the “Department”), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Division is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to

insurance;

WHEREAS, Employee Benefit Management Corp. ("Employee Benefit") has applied for its non-resident business entity producer license with the Department, pursuant to Chapter 375, RSMo;

WHEREAS, the Division has received information concerning a misstatement on the application, which is a violation of Section 374.210.1(1) RSMo, and subjects Employee Benefit to enforcement action by the Director;

WHEREAS, Employee Benefit has been informed of its right to counsel and of its right to contest any attempt by the Department to refuse the business entity producer license, and states that it understands its rights to contest any such actions;

AND WHEREAS, Employee Benefit acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Division based on an additional violation of the insurance laws or regulations by Employee Benefit, in which action the Director or the Division alleges that the violation described herein forms part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Employee Benefit are committed knowingly, intentionally or in conscious disregard of the law, that Employee Benefit made a misstatement on the business entity producer application which violated Section 374.210.1(1), RSMo (2016);

NOW, THEREFORE, in lieu of any recommendation or initiation by the Division of any action based on the violation cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Employee Benefit does hereby voluntarily and knowingly surrender and forfeit the sum of two hundred fifty dollars (\$250.00), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Employee Benefit shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than June 4, 2019.

The parties agree that, should the Director or the Division in the future allege an additional violation of the insurance laws or regulations by Employee Benefit, nothing in this Agreement shall preclude the Director or the Division from introducing Employee Benefit's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

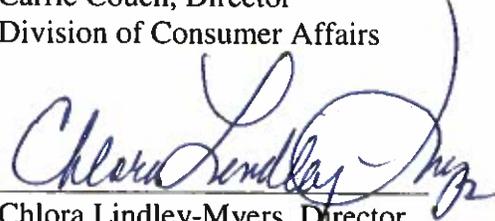
DATED: 6/4/19

  
Employee Benefit Management Corp.  
Applicant

DATED: 6/11/19

  
Carrie Couch, Director  
Division of Consumer Affairs

DATED: 06.11.2019

  
Chlora Lindley-Myers, Director  
Department of Insurance, Financial  
Institutions and Professional Registration

Return original to:  
Dana Whaley  
Missouri Department of Insurance,  
Financial Institutions and Professional Registration  
PO Box 4001  
Jefferson City, MO 65102