

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: DAVID L. WILLIAMS
4604 W RIPPLE DR
WEST JORDAN, UT 84088-2329

TRACKING ID 230895

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by David Williams (“Williams”) and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

RECEIVED

WHEREAS, John M. Huff, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, “Director” of the “Department”), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Williams has applied to receive a non-resident individual insurance producer license with the Department, pursuant to Chapter 375, RSMo;

WHEREAS, the Consumer Affairs Division has received information concerning Williams's misstatement on the license application regarding a Wisconsin administrative actions which is a violation of Section 374.210.1(1), RSMo (Supp. 2014), and subjects Williams to enforcement action by the Director;

WHEREAS, Williams has been informed of his right to counsel and of his right to contest any attempt by the Department to refuse to issue his insurance producer license, and states that he understands his rights to contest any such actions;

AND WHEREAS, Williams acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Consumer Affairs Division based on an additional violation of the insurance laws or regulations by Williams, in which action the Director or the Consumer Affairs Division alleges that the violations described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Williams are committed knowingly, intentionally or in conscious disregard of the law, that he made a misstatement on his license application regarding an administrative action, and that such conduct violated Section 374.210.1(1), RSMo (Supp. 2014);

NOW, THEREFORE, in lieu of any recommendation or initiation by the Consumer Affairs Division of any action based on the violation cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Williams does hereby voluntarily and knowingly surrender and forfeit the sum of two hundred fifty dollars (\$250.00), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Williams shall submit this sum to the Department by cashier's check or money

order made payable to the State School Moneys Fund no later than December 15, 2014.

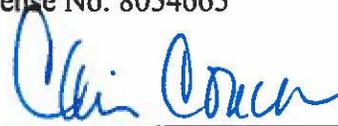
The parties agree that, should the Director or the Division in the future allege an additional violation of the insurance laws or regulations by Williams, nothing in this Agreement shall preclude the Director or the Consumer Affairs Division from introducing Williams's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 12-18-2014



David A. Williams
License No. 8054665

DATED: 1/7/15



Carrie Couch, Acting Director
Consumer Affairs Division

DATED: 1-9-15



John M. Huff, Director
Department of Insurance, Financial
Institutions and Professional
Registration

Return original to:
Dennis A. Fitzpatrick, AIE, CCP, MCM, CICSR, AIRC, ALMI, AINS, ACS
Missouri Department of Insurance,
Financial Institutions and Professional Registration
PO Box 4001
Jefferson City, MO 65102