

STATE OF MISSOURI



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MO. DEPT. OF INSURANCE,
FINANCIAL INSTITUTIONS &
PROFESSIONAL REGISTRATION

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

In Re: The Climate Insurance Agency, LLC
420 Bryant Street
San Francisco, CA 94107

Tracking ID 168171

VOLUNTARY FORFEITURE

It is hereby agreed by the Climate Insurance Agency, LLC and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri, (hereinafter, Director) whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo 2000 and

is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, the Climate Insurance Agency, LLC has a Missouri license as a nonresident business entity producer with the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapters 374 and 375, RSMo;

WHEREAS, information has been received by the Consumer Affairs Division that the Climate Insurance Agency, LLC accepted business from an unlicensed individual who solicited, negotiated, and sold an insurance policy, which is a violation of 20 CSR 700-1.020(1)(2)(3), (Supp. 2011) and grounds for discipline under Sections 375.141.1(2) and 375.141.1(12), RSMo (Supp. 2011), subjecting the Climate Insurance Agency, LLC to enforcement action by the Director;

WHEREAS, the Climate Insurance Agency, LLC has been informed of the nature of the violation, of its right to counsel and of its right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline its license, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that it understands its rights to contest any such actions;

NOW, THEREFORE, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to revoke the license of the Climate Insurance Agency, LLC, or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, the Climate Insurance Agency, LLC does hereby voluntarily and knowingly surrender and forfeit the sum of \$1,000, such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

The Climate Insurance Agency, LLC shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than July 3, 2012.

DATED: 6/25/12



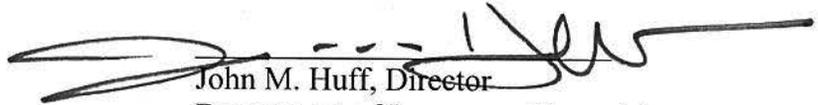
The Climate Insurance Agency, LLC
By: KARA RICCI
License Number 8028654

DATED: 7.2.12



Matt Barton,
Director, Division of Consumer Affairs

DATED: 7-6-12



John M. Huff, Director
Department of Insurance, Financial
Institutions and Professional Registration

Please return original to:
Karen Crutchfield
PO Box 4001
Jefferson City, MO 65102