

# DEPARTMENT OF COMMERCE AND INSURANCE

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE:	)	
ISAAC BATES,	)	Case No. 2501160061C
,	)	Cuse 110. #201100001C
Applicant.	)	Č.

### **CONSENT ORDER**

ANGELA L. NELSON, Director of the Missouri Department of Commerce and Insurance, takes up the above matter for consideration and disposition. The Consumer Affairs Division of the Department's Insurance Divisions, through counsel Joshua A. Wille, and Respondent Isaac Bates have reached a settlement in this matter and have agreed to the issuance of this Consent Order.

### **FINDINGS OF FACT**

1. Angela L. Nelson is the duly appointed Director of the Missouri Department of Commerce and Insurance ("Director" of the "Department") whose duties, pursuant to Chapters 374 and 375 RSMo 2016, include the supervision, regulation, and discipline of insurance producers.

<sup>&</sup>lt;sup>1</sup> All civil statutory references are to the 2016 Missouri Revised Statutes unless otherwise indicated.

- 2. The Consumer Affairs Division ("Division") of the Department has the duty of conducting investigations into the conduct of insurance producers pursuant to the laws of Missouri and has been authorized by the Director to investigate and initiate actions to enforce the insurance laws of Missouri, including producer license refusal.
- 3. On or about October 29, 2024, the Department received Isaac Bates's ("Bates") completed electronic Application for Insurance Producer License ("Application").
- 4. On and with the Application, Bates disclosed a felony conviction and other criminal history, and provided court records, that revealed the following:
  - a. On September 20, 2012, Bates pleaded guilty to three counts of the Class C Felony of Assault in the Second Degree in violation of section 565.060 RSMo 2000.<sup>2</sup> State v. Isaac D. Bates, Case No. 06MD-CR00358-01 (Madison Cty. Cir. Ct.). On October 18, 2012, the court sentenced Bates on each count to seven years' confinement in the Department of Corrections, each sentence to run concurrently. On December 22, 2014, the Board of Probation and Parole released Bates on parole release. Effective August 21, 2019, the Board of Probation and Parole discharged Bates from supervision.
  - b. On April 4, 2023, Bates pleaded guilty to one count of the Class E Felony of Criminal Non-Support in violation of section 568.040 RSMo Supp. 2020. *State v. Isaac Daniel Bates*, Case No. 22SG-CR00377-01 (St. Genevieve Cty. Cir. Ct.). The court suspended imposition of a sentence and placed Bates on unsupervised probation for a term of five years.
- 5. On and with the Application, Bates also disclosed, and provided records showing that he had a child support obligation in arrearage.
- 6. The Division's investigation revealed that a court ordered Bates to pay child support in *Renee M. Boland v. Isaac D. Bates*, Case No. 03MC610293 (St. Genevieve Cty.

<sup>&</sup>lt;sup>2</sup> All criminal statutory references are to those in effect at the time Bates committed the offenses.

Cir. Ct.).

- 7. As of December 2024, Bates owed \$9,616.21 in child support arrears in Renee M. Boland v. Isaac D. Bates, Case No. 03MC610293 (St. Genevieve Cty. Cir. Ct.).
- 8. Bates understands and agrees that pursuant to section 375.141.1(6) the Director may refuse to issue an insurance producer license to Bates because he has been convicted of the felonies of Assault in the Second Degree. *See State v. Isaac D. Bates*, Case No. 06MD-CR00358-01 (Madison Cty. Cir. Ct.).
- 9. Bates also understands and agrees that pursuant to section 375.141.1(13) the Director may refuse to issue an insurance producer license to Bates because he has failed to comply with a court order imposing a child support obligation. *See State v. Isaac Daniel Bates*, Case No. 22SG-CR00377-01 (St. Genevieve Cty. Cir. Ct.); *Renee M. Boland v. Isaac D. Bates*, Case No. 03MC610293 (St. Genevieve Cty. Cir. Ct.).
  - 10. Bates and the Division desire to settle the allegations raised by the Division.
- 11. Bates acknowledges and understands that he has the right to consult an attorney regarding these matters.
- 12. Bates stipulates and agrees to waive any waivable rights that he may have to a hearing before the Administrative Hearing Commission or the Director and any waivable rights to seek judicial review or other challenge or contest of the terms and conditions of this Consent Order. Bates further stipulates and agrees to forever release and hold harmless the Department, the Director, her agents, and the Division from all liability and claims arising out of, pertaining to, or relating to this matter.
  - 13. Bates acknowledges and understands that this Consent Order is an

administrative action and will be reported by the Department to other jurisdictions. Bates further acknowledges and understands that this administrative action should be disclosed on future license applications and renewal applications in this state and in other jurisdictions, and that it is his responsibility to comply with the reporting requirements of each jurisdiction in which he may be licensed.

14. Each signatory to this Consent Order certifies by signing that he or she is fully authorized, in his or her own capacity, or by the named party he or she represents, to accept the terms and provisions of this Consent Order in their entirety and agrees, in his or her personal or representative capacities, to be bound by the terms of this Consent Order.

#### **CONCLUSIONS OF LAW**

15. Section 375.141.1 provides, in relevant part:

The director may suspend, revoke, refuse to issue or refuse to renew an insurance producer license for any one or more of the following causes:

\* \* \*

(6) Having been convicted of a felony or crime involving moral turpitude; [or]

\* \* \*

- (13) Failing to comply with an administrative or court order imposing a child support obligation[.]
- 16. The foregoing facts hereby admitted by Bates constitute cause to discipline his insurance producer license pursuant to section 375.141.1(6) and (13).
- 17. The Director is authorized to settle this matter and issue this Consent Order in the public interest pursuant to sections 374.046, 536.060, and 621.045.

18. The terms set forth in this Consent Order are an appropriate disposition of this matter and issuance of this Consent Order is in the public interest.

#### **ORDER**

IT IS ORDERED that Department will issue a resident individual insurance producer license to Isaac Bates, subject to the terms set forth herein and the following special conditions:

- 1. Kevin Glasscock ("Glasscock"), National Producer Number 17422330, agrees to supervise Bates and ensure his compliance with Missouri's insurance laws and regulations.
- 2. Glasscock also agrees to supervise Bates's compliance with the terms of this Consent Order. In doing so, Glasscock agrees to report any violation of the terms of this Consent Order to the Department within five (5) business days of first becoming aware of such violation and shall maintain a system of procedures reasonably designed to detect any failure of Bates to comply with this Consent Order.
- 3. If Glasscock is no longer willing or able to supervise Bates or assure Bates's compliance with Missouri's insurance laws and regulations, and this Consent Order, because of a change of employment or for any other reason, Bates and Glasscock shall notify the Department and the parties may seek an amendment of this Consent Order, including, but not limited to, an amendment substituting a supervising producer for Bates. If Glasscock fails to maintain an insurance producer license in good standing, Glasscock no longer qualifies as a supervising producer. Bates is not authorized to engage in the

business of insurance in Missouri if Bates does not have a qualified supervising producer approved by the Director.

- 4. Bates shall maintain full compliance with child support obligations as required by all administrative and court orders, including but not limited to court orders in *State v*. *Isaac Daniel Bates*, Case No. 22SG-CR00377-01 (St. Genevieve Cty. Cir. Ct.) and *Renee M. Boland v. Isaac D. Bates*, Case No. 03MC610293 (St. Genevieve Cty. Cir. Ct.). Bates's compliance with his child support obligations shall be based on the records of the Missouri Department of Social Services, Family Support Division (or its successor entity).
- 5. Bates acknowledges and agrees that, by signing this Consent Order, he authorizes the Department to obtain from the Missouri Department of Social Services, Family Support Division (or its successor entity) records of Bates's payment history showing compliance or noncompliance with his child support obligations. Bates agrees that he intends his signature below as a release of such records to the Department.
- 6. Bates shall report to the Department any violation of or failure to comply with the laws set forth in Chapters 374 or 375 within five (5) business days of such violation or failure to comply.
- 7. Bates shall respond to all inquiries from the Division in accordance with 20 CSR 100-4.100.
- 8. If a consumer complaint is communicated directly to Bates, Bates shall send the Division a copy of the complaint and a copy of Bates's response to the consumer within five (5) business days of receipt of the consumer complaint.

- 9. Bates shall report to the Department any administrative action taken againstBates in another jurisdiction or by another governmental agency in this state within five(5) business days after he receives notification of the initiation of such administrative action.
- 10. Bates shall report to the Department any and all of the following incidents involving Bates within five (5) business days of such occurrence: the first court hearing or appearance in any criminal proceeding, and any probation violation, probation revocation, arrest, citation, guilty plea, *Alford* plea, nolo contendere plea, finding of guilt, or conviction concerning a felony or misdemeanor.
- 11. The special conditions listed in paragraphs 1 through 3, 6, and 8 through 10 will expire upon the expiration, lapse, termination, revocation, or renewal of Bates's insurance producer license, whichever occurs first.

IT IS FURTHER ORDERED that for five (5) years subsequent to the date of this executed Consent Order, Bates will voluntarily surrender his license to the Department within thirty (30) days of Bates's entry of a guilty plea, *Alford* plea, nolo contendere plea, or finding of guilty or conviction for a felony, regardless of whether sentence is imposed, suspended, or executed.

IT IS FURTHER ORDERED that if Bates maintains his resident insurance producer license beyond the term of this Consent Order and complies with the terms of this Consent Order, Bates may apply to renew his license, and the Director shall consider the renewal application in accordance with Chapters 374 and 375, and without regard to the felony convictions in *State v. Isaac D. Bates*, Case No. 06MD-CR00358-01 (Madison

Cty. Cir. Ct.), or the underlying conduct.

IT IS FINALLY ORDERED that the Director may pursue additional legal remedies, as determined appropriate by the Director, and without limitation, as authorized by Chapters 374 and 375 including remedies for violation of, or failure to comply with, the terms of this Consent Order.

SO ORDERED, SIGNED AND OFFICIAL SEAL AFFIXED THIS

DAY OF MACM

ANGELA L. NELSON, Director Missouri Department of Commerce

and Insurance

# **CONSENT AND WAIVER OF HEARING**

The undersigned persons understand and acknowledge that Isaac Bates may have the right to a hearing, but that Bates has waived any right to a hearing and agreed to the issuance of this Consent Order.

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Isaac Bates, Respondent	Date	
2006 Karie Lane	Date	
Park Hills, MO 63601		
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Counsel for Respondent		
Missouri Bar		
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Address		
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Facsimile	\	
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E-mail:		
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3/20/25

Date