

STATE OF MISSOURI



DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

In Re: Adrian Johnson
1247 Charter Oak Parkway, Apt. D
St. Louis, MO 63146

Tracking ID 200752 E

RECEIVED
JAN 02 2014
DEPT. OF INSURANCE,
FINANCIAL INSTITUTIONS &
PROFESSIONAL REGISTRATION

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Adrian Johnson and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Adrian Johnson, is licensed as an insurance producer with the Department, pursuant to Chapter 375, RSMo;

WHEREAS, the investigation has revealed that Adrian Johnson failed to inform

the director of a change of address within thirty (30) days of the address change for a period of eleven (11) months, a violation of Section 375.018.7 RSMo (Supp. 2012), which states:

Insurance producers shall inform the director by any means acceptable to the director of a change of address within thirty days of the change. Failure to timely inform the director of a change in legal name or address may result in a forfeiture not to exceed the sum of ten dollars per month,

subjecting him to enforcement action by the Director;

WHEREAS, Adrian Johnson, has been informed of his right to counsel and of his right to contest any attempt by the Department to refuse to issue his insurance producer license, and states that he understands his rights to contest any such actions;

AND WHEREAS, Adrian Johnson, acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Consumer Affairs Division based on an additional violation of the insurance laws or regulations by Adrian Johnson, in which action the Director or the Consumer Affairs Division alleges that the violations described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Adrian Johnson are committed knowingly, intentionally or in conscious disregard of the law, that he failed to inform the director of a change of address and that such conduct violated Section 375.018.7 RSMo (Supp. 2012);

NOW, THEREFORE, in lieu of any recommendation or initiation by the Consumer Affairs Division of any action based on the violation(s) cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Adrian Johnson does hereby voluntarily and knowingly surrender and forfeit the sum of one hundred ten dollars (\$110), such sum to be paid into the State School Moneys Fund

pursuant to Sections 374.046 and 374.280, RSMo.

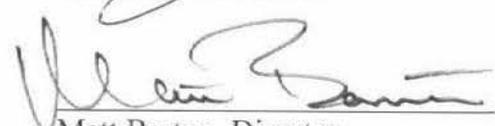
Adrian Johnson shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than November 22, 2013.

The parties agree that, should the Director or the Division in the future allege an additional violation of the insurance laws or regulations by Adrian Johnson, nothing in this Agreement shall preclude the Director or the Consumer Affairs Division from introducing Adrian Johnson's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 12/26/13


Adrian Johnson
License No 0410302

DATED: 1-7-14


Matt Barton, Director
Consumer Affairs Division

DATED: 1-8-14


John M. Huff, Director
Department of Insurance, Financial
Institutions and Professional
Registration

Return original to:
Sheri Sloan
Missouri Department of Insurance,
Financial Institutions and Professional Registration
PO Box 4001
Jefferson City, MO 65102