

**HEALTH ENTITIES**

COMPANY NAME: \_\_\_\_\_ NAIC Company Code: \_\_\_\_\_

Contact: \_\_\_\_\_ Telephone: \_\_\_\_\_

REQUIRED FILINGS IN THE STATE OF: MISSOURI Filings Made During the Year 2026

(1) Checklist	(2) Line #	(3) REQUIRED FILINGS FOR THE ABOVE STATE	(4) NUMBER OF COPIES*			(5) DUE DATE	(6) FORM SOURCE **	(7) APPLICABLE NOTES
			Domestic		Foreign			
			State	NAIC	State			
		<b>I. NAIC FINANCIAL STATEMENTS</b>						
	1	Annual Statement (8 ½”X14”)	EO	EO	XXX	3/1	NAIC	B(c), G, H(a), I, L, N(a)
	1.1	Printed Investment Schedule detail (Pages E01-E30)	EO	EO	XXX	3/1	NAIC	B(c), I, N(a)
	2	Quarterly Financial Statement (8 ½” x 14”)	EO	EO	XXX	5/15, 8/15, 11/15	NAIC	B(c), G, H(a), I, L, N(a)
		<b>II. NAIC SUPPLEMENTS</b>						
	11	Accident & Health Policy Experience Exhibit	EO	EO	XXX	4/1	NAIC	I, M
	12	Actuarial Opinion	EO	EO	XXX	3/1	Company	G, J(a), I, M, N(a), N(d)
	13	Life Supplemental Data due March 1	EO	EO	XXX	3/1	NAIC	I, M
	14	Life Supplemental Data due April 1	EO	EO	XXX	4/1	NAIC	I, M
	15	Life Supp Statement non-guaranteed elements – Exh 5, Int. #3	EO	EO	XXX	3/1	Company	I, M
	16	Life Supp Statement on par/non-par policies – Exh 5 Int. 1&2	EO	EO	XXX	3/1	Company	I, M
	17	Life, Health & Annuity Guaranty Association Assessable Premium Exhibit, Parts 1 and 2	EO	EO	XXX	4/1	NAIC	I, M
	18	Long-Term Care Experience Reporting Forms	EO	EO	XXX	4/1	NAIC	I, M
	19	Management Discussion & Analysis	EO	EO	XXX	4/1	Company	I, N(a)
	20	Market Conduct Annual Statement Premium Exhibit for Year	EO	EO	XXX	3/1	NAIC	I, M
	21	Medicare Part D Coverage Supplement	EO	EO	XXX	3/1, 5/15, 8/15, 11/15	NAIC	I, M
	22	Medicare Supplement Insurance Experience Exhibit	EO	EO	XXX	3/1	NAIC	I, M
	23	Risk-Based Capital Report	EO	EO	XXX	3/1	NAIC	B(c), G, H(a), I, L, N(a), X
	24	Schedule SIS	EO	N/A	N/A	3/1	NAIC	I, M
	25	Supplemental Compensation Exhibit	EO	N/A	N/A	3/1	NAIC	B(c), I, X
	26	Supplemental Health Care Exhibit (Parts 1 and 2)	EO	EO	XXX	4/1	NAIC	I, M
	27	Supplemental Investment Risk Interrogatories	EO	EO	XXX	4/1	NAIC	I, M
		<b>III. ELECTRONIC FILING REQUIREMENTS</b>						
	61	Annual Statement Electronic Filing	XXX	EO	XXX	3/1	NAIC	
	62	March .PDF Filing	XXX	EO	XXX	3/1	NAIC	
	63	Risk-Based Capital Electronic Filing	XXX	EO	N/A	3/1	NAIC	
	64	Risk-Based Capital .PDF Filing	XXX	EO	N/A	3/1	NAIC	
	65	Supplemental Electronic Filing	XXX	EO	XXX	4/1	NAIC	
	66	Supplemental .PDF Filing	XXX	EO	XXX	4/1	NAIC	

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	67	Quarterly Statement Electronic Filing	XXX	EO	XXX	5/15, 8/15, 11/15	NAIC	
	68	Quarterly .PDF Filing	XXX	EO	XXX	5/15, 8/15, 11/15	NAIC	
	69	June .PDF Filing	XXX	EO	XXX	6/1	NAIC	
		<b>IV. AUDIT/INTERNAL CONTROL RELATED REPORTS</b>						
	81	Accountants Letter of Qualifications	EO	EO	N/A	6/1	Company	J, N(a)
	82	Audited Financial Reports	EO	EO	XXX	6/1	Company	I, J, N(a), N(c)
	83	Audited Financial Reports Exemption Affidavit	EO	N/A	N/A	5/1	Company	H(a), J
	84	Communication of Internal Control Related Matters Noted in Audit	EO	EO	N/A	8/1	Company	R
	85	Independent CPA (change)	EO	N/A	N/A	12/01/2 4	Company	N(a), N(c)
	86	Management's Report of Internal Control Over Financial Reporting	EO	N/A	N/A	8/1	Company	R
	87	Notification of Adverse Financial Condition	EO	N/A	N/A	Within 5 business days of finding	Company	B(c)
	88	Relief from the five-year rotation requirement for lead audit partner	EO	EO	XXX	3/1	Company	B(c), J(b)
	89	Relief from the one-year cooling off period for independent CPA	EO	EO	XXX	3/1	Company	B(c), J(a)
	90	Relief from the Requirements for Audit Committees	EO	EO	XXX	3/1	Company	B(c), J(a)
	91	Request for Exemption to File Management's Report of Internal Control Over Financial Reporting	EO	N/A	N/A	7/1	Company	B(c), J(a)
		<b>V. STATE REQUIRED FILINGS</b>						
	101	Certificate of Compliance	0	0	0		State	
	102	Certificate of Deposit	0	0	0		State	
	103	Filings Checklist (with Column 1 completed)	0	0	0		State	
	104	Premium Tax	1	0	1	3/1	State	A, Q
	105	Signed Jurat – Annual	1	0	XXX	3/1	NAIC	B(c), G, H(a), I, L
	106	Certificate of Compliance with Advertising Rules	EO	N/A	1	3/1	State	H(a), U
	107	Affidavit regarding Third Party Administrator	EO	N/A	N/A	3/1	State	B(c), G, H(a), M
	108	Annual Statement Supplement for Missouri	EO	N/A	EO	3/1	State	W, if Life or HMO licensed
	109	Annual Statement Page 19 Supplement	EO	N/A	EO	3/1	State	W, if P&C licensed
	110	Statement of Basket Clause (MO 375-0076)	EO	N/A	N/A	3/1	State	B(c), M, T,
	111	Updated Biographical Affidavits	EO	N/A	N/A	3/1, 5/15, 8/15, 11/15	NAIC	B(c), G, H(a), I, V, X, Domestic Only
	112	MO Medicare Supplement Insurance Experience Report	EO	N/A	1	4/1	State	W

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	113	Form B-Holding Company Registration Statement	EO	N/A	N/A	5/1	Company	B(c), G, H(b), I, S, X
	114	Form B Supplement Fees Between Insurers & Affiliates	EO	N/A	N/A	5/1	State	B(c), I, X
	115	Form C Summary of Registration	EO	N/A	N/A	5/1	Company	B(c), G, H(b), I, S, X
	116	Form F-Enterprise Risk Report ****	EO	0	XXX	5/1	Company	B(c), G, H(a), I, S, X
	117	Signed Jurat – Quarterly	1	0	XXX	5/15, 8/15, 11/15	NAIC	B(c), G, H(a), I, L, N(a)
	118	Corporate Governance Annual Disclosure	EO	N/A	N/A	6/1	Company	B(c), G, H(b), X
	119	State Filing Fees	EO	0	1	7/1	State	C, O
	120	Application for Renewal of C of A	EO	N/A	1	7/1	State	G, H(a), N(b)
	121	ORSA *****	1	0	N/A	2024	Company	B(c), G, H(b), X
	122	Group Capital Calculation (File with Lead State Only)	EO	0	N/A	8/1	NAIC	G, I, J(a), N(f), X

\*If XXX appears in this column, this state does not require this filing, if hard copy is filed with the state of domicile and if the data is filed electronically with the NAIC. If N/A appears in this column, the filing is required with the domiciliary state. EO (electronic only filing).

\*\*If Form Source is NAIC, the form should be obtained from the appropriate vendor.

\*\*\*For those states that have adopted the NAIC Corporate Governance Annual Disclosure Model Act, an annual disclosure is required of all insurers or insurance groups by June 1. The Corporate Governance Annual Disclosure is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state if filed at the insurance group level. For more information on lead states, see the following NAIC URL: [http://www.naic.org/public\\_lead\\_state\\_report.htm](http://www.naic.org/public_lead_state_report.htm).

\*\*\*\*For those states that have adopted the NAIC updated Holding Company Model Act, a Form F filing is required annually by holding company groups. Consistent with the Form B filing requirements, the Form F is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state. For more information on lead states, see the following NAIC URL: [http://www.naic.org/public\\_lead\\_state\\_report.htm](http://www.naic.org/public_lead_state_report.htm)

\*\*\*\*\*For those states that have adopted the NAIC Risk Management and Own Risk and Solvency Assessment Model Act, a summary report is required annually by insurers and insurance groups above a specified premium threshold. The ORSA Summary Report is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state if filed at the insurance group level. For more information on lead states, see the following NAIC URL: [http://www.naic.org/public\\_lead\\_state\\_report.htm](http://www.naic.org/public_lead_state_report.htm)