



MISSOURI DEPARTMENT OF COMMERCE AND INSURANCE
APPLICATION FOR SURPLUS LINES PRODUCER

LICENSING@INSURANCE.MO.GOV
 P.O. BOX 4001
 JEFFERSON CITY, MO 65102
 TELEPHONE: (573) 751-3518
 www.insurance.mo.gov

Have you or an immediate family member ever served in the U.S. Armed Forces?
 If yes, please check the box if you would like information about military-related services in Missouri?

CHECK APPROPRIATE BOX

New Application Renewal Application

**** SEE PART V - GENERAL INSTRUCTIONS ****

PART I – INDIVIDUAL IDENTIFICATION

A. SOCIAL SECURITY NUMBER B. DATE OF BIRTH (MM/DD/YYYY)

C. FULL LEGAL NAME OF APPLICANT - LAST NAME FIRST NAME MIDDLE NAME (IF NONE, ENTER N/A) JR./SR.

D. RESIDENCE ADDRESS STREET ADDRESS CITY STATE ZIP CODE

BUSINESS ADDRESS STREET ADDRESS CITY STATE ZIP CODE

MAILING ADDRESS PO BOX/STREET ADDRESS CITY STATE ZIP CODE

E. HOME/CELL PHONE INDIVIDUAL APPLICANT'S EMAIL ADDRESS BUSINESS TELEPHONE

F. ARE YOU A CITIZEN OF THE UNITED STATES?
 YES NO If NO, of which country are you a citizen? _____

G. HAS RESIDENCE ADDRESS CHANGED IN THE LAST 12 MONTHS?
 YES NO If YES, list former residence address:
 (street) _____ (city) _____ (state) _____ (zip code) _____

PART II – BACKGROUND INFORMATION

A. DO YOU NOW HOLD, OR HAVE YOU EVER HELD, AN INSURANCE PRODUCER OR BAIL BOND LICENSE IN ANOTHER STATE IN THE U.S. OR THE PROVINCES OF CANADA?
 YES NO If YES, and the license is still in force, attach a certification letter from your home state.

B. HAS ANY DISCIPLINARY ACTION, INCLUDING BUT NOT LIMITED TO, REFUSAL, SUSPENSION, REVOCATION, EVER BEEN TAKEN BY ANY REGULATORY AGENCY IN ANY STATE OR PROVINCE OF CANADA AGAINST YOU OR ANY BUSINESS WITH WHICH YOU HAVE BEEN DIRECTLY CONNECTED?
 YES NO If YES, provide full explanation on a separate sheet of paper and a certified copy of the documents from the agency imposing discipline.

C. HAVE YOU EVER BEEN CONVICTED OF OR PLED NOLO CONTENDERE (NO CONTEST) TO ANY MISDEMEANOR OR FELONY, OR CURRENTLY HAVE PENDING MISDEMEANOR OR FELONY CHARGES FILED AGAINST YOU? (MISDEMEANOR DOES NOT MEAN MINOR TRAFFIC VIOLATIONS.)
 YES NO If YES, give date, name and address of court, basis of charge, outcome, and whether you received an executive pardon. Also, attach certified copies of the information or indictment and the final adjudication.

D. HAS ANY PROFESSIONAL LICENSE (OTHER THAN INSURANCE) HELD OR APPLIED FOR BY YOU BEEN REVOKED, SUSPENDED, REFUSED, OR THE RENEWAL THEREOF DENIED BY A REGULATORY BODY OR OFFICIAL OF ANY STATE, DISTRICT, OR TERRITORY?
 YES NO If YES, provide full explanation on a separate sheet of paper and a certified copy of the documents from the agency imposing discipline.

E. DOES ANY INSURANCE COMPANY, BUSINESS ENTITY PRODUCER (AGENCY), OR PRODUCER (AGENT OR BROKER) CONTEND OR ALLEGE THAT IT HAS MONEY OR SUMS DUE FROM YOU OTHER THAN SUMS DUE FOR THE APPLICANT'S PERSONAL INDIVIDUAL INSURANCE NEEDS?
 YES NO If YES, provide full explanation on a separate sheet of paper and any documents related to the matter.

F. HAVE YOU EVER HAD A SURETY BOND REFUSED, REVOKED, OR CANCELLED?
 YES NO If YES, provide full explanation on a separate sheet of paper and any documents related to the matter.

G. HAVE YOU EVER BEEN A DIRECTOR, OFFICER, OR OWNER OF AN INSURANCE COMPANY OR AGENCY, WHICH WAS PLACED IN BANKRUPTCY, CONSERVATORSHIP, REHABILITATION, OR ANY OTHER FORM OF DELINQUENCY PROCEEDINGS?
 YES NO If YES, provide full explanation on a separate sheet of paper.

H. DO YOU HAVE A CHILD SUPPORT OBLIGATION IN ARREARAGE?
 YES NO If YES, how many months are you in arrearage? _____ months State of: _____

PART III – EMPLOYMENT RECORD (DO NOT COMPLETE IF YOU ARE RENEWING YOUR LICENSE.)

A. WILL YOU BE EMPLOYED BY AN INSURANCE BUSINESS ENTITY?
 YES NO If YES, complete 1-4 below.

1. FULL AND EXACT NAME OF INSURANCE BUSINESS ENTITY

2. MO BUSINESS ENTITY LICENSE NUMBER LICENSE ISSUED BY THE MISSOURI DEPARTMENT OF COMMERCE AND INSURANCE

3. ADDRESS OF BUSINESS ENTITY STREET CITY STATE ZIP CODE

4. TELEPHONE NUMBER OF BUSINESS ENTITY

B. List below your employment history for the past 5 years, **beginning with your current place of employment.** (If additional space is needed, attached a separate sheet of paper.)

DATES OF EMPLOYMENT		FULL AND EXACT NAME OF COMPANY	ADDRESS OF COMPANY	POSITION HELD
FROM	TO			

PART IV – APPLICANT CERTIFICATION

This applicant certifies that the statements contained in this application are true to the best of his/her knowledge and belief.

SIGNATURE OF APPLICANT	DATE
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PART V – GENERAL INSTRUCTIONS

NEW APPLICANTS

- A. \$100 application fee
- B. Residents must take and pass the Surplus Lines Examination.
- C. Residents must hold, or be applying for, an active Missouri property and casualty producer license.

RENEWAL APPLICANTS

- A. \$100 application fee
- B. Residents must hold, or be applying for, an active Missouri property and casualty producer license.

Email Completed Application To: dci.ins.deposit@insurance.mo.gov
 If you email, you will receive additional instructions for electronic payment.

OR

Mail Completed Application To: MISSOURI DEPARTMENT OF COMMERCE AND INSURANCE
 P.O. Box 4001
 Jefferson City, MO 65102
 Payment will be in the form of a check or money order.