

## TITLE COMPANIES

COMPANY NAME: \_\_\_\_\_ NAIC Company Code: \_\_\_\_\_

Contact: \_\_\_\_\_ Telephone: \_\_\_\_\_

REQUIRED FILINGS IN THE STATE OF: **MISSOURI**

Filings Made During the Year 2019

(1) Checklist	(2) Line #	(3) REQUIRED FILING FOR THE ABOVE STATE	(4) NUMBER OF COPIES*			(5) DUE DATE	(6) FORM SOURCE**	(7) APPLICABLE NOTES
			Domestic		Foreign			
			State	NAIC	State			
<b>I. NAIC FINANCIAL STATEMENTS</b>								
	1	Annual Statement (8 1/2" x 14")	2	EO	xxx	3/1	NAIC	G, H(a), I, L, N(a)
	1.1	Printed Investment Schedule detail (Pages E01-E27)	2	EO	xxx	3/1	NAIC	
	2	Quarterly Financial Statement (8 1/2" x 14")	2	EO	xxx	5/15, 8/15, 11/15	NAIC	G, H(a), I, L, N(a)
<b>II. NAIC SUPPLEMENTS</b>								
	11	Actuarial Opinion	2	EO	xxx	3/1	Company	G, J(a), M, N(a)(b)
	12	Investment Risk Interrogatories	2	EO	xxx	4/1	NAIC	M
	13	Management Discussion & Analysis	2	EO	xxx	4/1	Company	N(a)
	14	Schedule SIS	2	N/A	N/A	3/1	NAIC	M
	15	Supplemental Compensation Exhibit	1	N/A	N/A	3/1	NAIC	
	16	Supplemental Schedule of Business Written By Agency	2	N/A	xxx	4/1	NAIC	M
<b>III. ELECTRONIC FILING REQUIREMENTS</b>								
	61	Annual Statement Electronic Filing	xxx	EO	xxx	3/1	NAIC	
	62	March .PDF Filing	xxx	EO	xxx	3/1	NAIC	
	63	Supplemental Electronic Filing	xxx	EO	xxx	4/1	NAIC	
	64	Supplemental .PDF Filing	xxx	EO	xxx	4/1	NAIC	
	65	Quarterly Statement Electronic Filing	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC	
	66	Quarterly .PDF Filing	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC	
	67	June .PDF Filing	xxx	EO	xxx	6/1	NAIC	
<b>IV. AUDIT/INTERNAL CONTROL RELATED REPORTS</b>								
	81	Accountants Letter of Qualifications	2	EO	N/A	6/1	Company	N(c)
	82	Audited Financial Reports	2	EO	xxx	6/1	Company	J, N(a)
	83	Audited Financial Reports Exemption Affidavit	1	N/A	N/A		Company	J
	84	Communication of Internal Control Related Matters Noted in Audit	2	EO	N/A	8/1	Company	R
	85	Independent CPA (change)	1	N/A	N/A		Company	N(c)
	86	Management's Report of Internal Control Over Financial Reporting	2	N/A	N/A	8/1	Company	
	87	Notification of Adverse Financial Condition	2	N/A	N/A		Company	
	88	Request for Exemption to File	1	N/A	N/A		Company	J
	89	Relief from the five-year rotation requirement for lead audit partner	1	EO	xxx	3/1	Company	J
	90	Relief from the one-year cooling off period for independent CPA	1	EO	xxx	3/1	Company	J
	91	Relief from the Requirements for Audit Committees	1	EO	xxx	3/1	Company	J
<b>V. STATE REQUIRED FILINGS***</b>								
	101	Filings Checklist (with Column 1 completed)	0	0	0		State	
	102	Signed Jurat – Annual	2	0	xxx	3/1	NAIC	G, L
	103	Premium Tax Return	1	0	xxx	3/1	State	Q
	104	Title Premium Reserve	1	N/A	1	3/1	State	G, H(a)
	105	Supplement to page 19 of the Annual Statement	1	N/A	1	3/1	State	
	106	Basket Clause Summary	1	N/A	N/A	3/1	State	T, M
	107	Updated Biographical Affidavits	1	N/A	N/A	3/1	NAIC	G, H(a) Domestic ONLY
	108	Form B Supplement Fees Between Insurers and Affiliates	1	N/A	N/A	5/1	State	M
	109	Form B Holding Company Registration	1	N/A	N/A	5/1	Company	S

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			Domestic		Foreign			
			State	NAIC	State			
	110	Form C Summary of Registration	1	N/A	N/A	5/1	Company	S
	111	Form F – Enterprise Risk Report ****	1	N/A	xxx	5/1	State	G, H(a)
	112	Signed Jurat – Quarterly	2	0	xxx	5/15, 8/15, 11/15	NAIC	G, L
	113	State Filing Fees	1	0	1	7/1	State	C, O
	114	Application for Renewal of C of A	1	N/A	1	7/1	Company	G, N(b)
	115	ORSA *****	1	N/A	N/A	2018	Company	

\*If XXX appears in this column, this state does not require this filing, if hard copy is filed with the state of domicile and if the data is filed electronically with the NAIC. If N/A appears in this column, the filing is required with the domiciliary state. EO (electronic only filing).

\*\*If Form Source is NAIC, the form should be obtained from the appropriate vendor.

\*\*\*For those states that have adopted the NAIC Corporate Governance Annual Disclosure Model Act, an annual disclosure is required of all insurers or insurance groups by June 1. The Corporate Governance Annual Disclosure is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state if filed at the insurance group level. For more information on lead states, see the following NAIC URL: [http://www.naic.org/public\\_lead\\_state\\_report.htm](http://www.naic.org/public_lead_state_report.htm).

\*\*\*\*For those states that have adopted the NAIC updated Holding Company Model Act, a Form F Filing is required annually by holding company groups. Consistent with the Form B filing requirements, the Form F is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state. For more information on lead states, see the following NAIC URL: [http://www.naic.org/public\\_lead\\_state\\_report.htm](http://www.naic.org/public_lead_state_report.htm)

\*\*\*\*\*For those states that have adopted the NAIC Risk Management and Own Risk and Solvency Assessment Model Act, a summary report is required annually by insurers and insurance groups above a specified premium threshold. The ORSA Summary Report is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state if filed at the insurance group level. For more information on lead states, see the following NAIC URL: [http://www.naic.org/public\\_lead\\_state\\_report.htm](http://www.naic.org/public_lead_state_report.htm)