

## MISSOURI DEPARTMENT OF COMMERCE AND INSURANCE APPLICATION FOR MOTOR VEHICLE EXTENDED SERVICE CONTRACT PRODUCER LICENSE

PLEASE PRINT OR TYPE										
1. SOCIAL SECURITY NUMBER					2. DATE OF BIRTH					
3. LAST NAME	JR./SR., ET	a./SR., ETC.			4. FIRST NAME				5. MIDDLE NAME	
6. RESIDENCE/HOME ADDRESS (PHYSICAL ST	REET) 7. F	P.O. BOX	8. CITY				9.	STATE	10. ZIP CODE	11. COUNTRY
12. HOME TELEPHONE NUMBER 13. MOBILE TELEPHONE NUMBI					ER 14. PERSONAI			4. PERSONAL	_ EMAIL ADDRESS	
15. GENDER (CHECK ONE)       16. ARE YOU A CITIZEN OF THE UNITED STATES? (CHECK ONE) (IF NO, PLEASE ATTACH DOCUMENTATION THAT PROVES YOUR ELIGIBILITY TO WORK IN THE UNITED STATES)         Image:										
17. BUSINESS ENTITY NAME										
18. BUSINESS ENTITY ADDRESS (PHYSICAL STREET)		19. P.O. BOX 20. CITY					. STATE	22. ZIP CODE	23. COUNTRY	
24. BUSINESS TELEPHONE NUMBER (INCLUDE	EXT.) 25	. BUSINESS FAX NUMBER			26. BUSINESS EMAIL ADDRESS				27. BUSINESS WEBSITE ADDRESS	
28. APPLICANT'S MAILING ADDRESS 29.	P.O. BOX	30. CITY			1		31	. STATE	32. ZIP CODE	33. COUNTRY
34A. LIST ALL OTHER ASSUMED, FICTITIOUS, ALIAS, MAIDEN OR TRADE NAMES YOU HAVE USED IN THE PAST.										
34B. LIST ALL TRADE NAMES UNDER WHICH YOU ARE CURRENTLY DOING BUSINESS OR INTEND TO DO BUSINESS.										
EMPLOYMENT HISTORY										
35. Account for all time for the pa Include full and part-time wor										rking back five years.
include full and part-time wor	k, sell-ell	ploymen	i, mina	ry service,	FR			TO		
					MONTH	YEAR	MONT		POSITION HELD	
NAME										
CITY	STATE		COUNTR	Y						
NAME										
СІТҮ	STATE		COUNTR	Y						
NAME										
CITY	STATE		COUNTR	Y						
NAME	1		1							
СІТҮ	STATE		COUNTR	Y						
BACKGROUND INFORMATION			1					1		
<ol> <li>The Applicant must read the following very carefully and answer every question. All written statements submitted by the Applicant must include an original signature.</li> </ol>										
1. Have you ever been convicted of a crime, had a judgment withheld or deferred, received a suspended imposition of sentence ("SIS") or suspended execution of sentence ("SES"), or are you currently charged with committing a crime?										
"Crime" includes a misdemeanor, felony, or a military offense. You may exclude any of the following if they are/were										

"Crime" includes a misdemeanor, telony, or a military offense. You may exclude any of the following if they are/were misdemeanor traffic citations or misdemeanors: driving under the influence (DUI), driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license. You may also exclude misdemeanor juvenile convictions.

"Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, having entered an Alford Plea, or having been given probation, a suspended sentence, or a fine.

#### **BACKGROUND INFORMATION**

"Had a judgment withheld or deferred" includes circumstances in which a guilty plea was entered and/or a finding of guilt was made, but imposition or execution of the sentence was suspended (for instance, the defendant was given a suspended imposition of sentence or a suspended execution of sentence – sometimes called an "SIS" or "SES").

Unless excluded by the language above, you must disclose convictions that have been expunged.

If you answer yes, you must attach to this application:

- a) a written statement explaining the circumstances of each incident,
- b) a certified copy of the charging document, and
- c) a certified copy of the official document which demonstrates the resolution of the charges or any final judgment.
- 2. Have you ever been named or involved as a party in an administrative proceeding or action regarding any professional or occupational license or registration, or regarding the lack of such license or registration?

"Involved" means having a license censured, suspended, revoked, canceled, terminated or being assessed a fine, a voluntary forfeiture, a cease and desist order, a prohibition order, a consent order, or being placed on probation. "Involved" also includes the act of surrendering a license to resolve an administrative proceeding or action. "Involved" also means being named as a party to an administrative or arbitration proceeding which is related to a professional or occupational license or is related to the lack of such license. "Involved" also means having a license application denied or the act of withdrawing an application to avoid a denial. You must INCLUDE any business so named because of your actions or because of your capacity as an owner, partner, officer, director, or member or manager of a Limited Liability Company. You may EXCLUDE terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

- a) a written statement identifying the type of license and explaining the circumstances of each incident,
- b) a copy of the Notice of Hearing or other document that states the charges and allegations, and
- c) a certified copy of the official document which demonstrates the resolution of the charges and/or a final judgment.
- 3. Has any demand been made or judgment rendered against you or any business of which you are or were an owner, partner, officer or director, or member or manager of a Limited Liability Company, for overdue monies by a provider, an administrator, an insurer, an insured, or a producer?

Have you or any business of which you are or were an owner, partner, officer or director, or member or manager of a Limited Liability Company ever been subject to a bankruptcy proceeding?

Answer "Yes" if the answer to either question (or both) is "Yes."

If you answer yes, you must attach to this application:

- a) a written statement explaining the circumstances of the demand or judgment,
- b) a certified copy of the judgment, a copy of the demand, and copies of any other relevant documents,
- c) a certified copy of the official document which demonstrates the resolution of the demand or judgment,
- d) a written statement detailing the case number, type of bankruptcy, the court it was filed before and summarizing the details of the indebtedness and arrangements for repayment,
- e) a certified copy of the "Notice of Bankruptcy" or its equivalent, and
- f) a certified copy of the "Order Discharging Debtor" or its equivalent.
- 4. Have you failed to pay state or federal income tax?

Have you failed to comply with an administrative or court order directing payment of state or federal income tax?

Answer "Yes" if the answer to either question (or both) is "Yes."

If you answer yes, you must attach to this application:

- a) a written statement explaining the circumstances of each administrative or court order,
- b) copies of all relevant documents (i.e. demand letter from the Department of Revenue or Internal Revenue Service, etc.),
- c) a certified copy of each administrative or court order, judgment, and/or lien, and
- d) a certified copy of the official document which demonstrates the resolution of the tax delinquency (i.e. tax compliance letter, etc.).
- 5. Are you currently a party to, or ever been found liable in, any lawsuit, arbitration or mediation proceeding involving allegations of fraud, misappropriation or conversion of funds, misrepresentation or breach of fiduciary duty?
  - If you answer yes, you must attach to this application:
    - a) a written statement summarizing the details of each incident,
    - b) a certified copy of the Petition, Complaint or other document that commenced the lawsuit and/or arbitration, or mediation proceedings, and
    - c) a certified copy of the official document which demonstrates the resolution of the charges and/or a final judgment.

□yes □no

□YES □NO

□YES □NO

□YES □NO

BACK	GROUND INFORMATION				
6	Have you ever had an insurance agency contract or any other business relationship with an insurance company terminated for any alleged misconduct?				
	Has any business in which you are or were an owner, partner, officer or director ever had an insurance agency contract or any other business relationship with an insurance company terminated for any alleged misconduct?	□yes □no			
	Have you or any business in which you are or were a member or manager of a Limited Liability Company, ever had an insurance agency contract or any other business relationship with an insurance company terminated for any alleged misconduct?				
	Answer "Yes" if the answer to any question above (or all) is "Yes."				
	<ul> <li>If you answer yes, you must attach to this application:</li> <li>a) a written statement summarizing the details of each incident and explaining why you feel this incident should not prevent you from receiving a motor vehicle extended service contract producer license, and</li> <li>b) copies of all relevant documents.</li> </ul>				
7	7. Do you currently have or have you had a child support obligation?				
<ul> <li>If you answer yes:</li> <li>a) are you in arrearage?</li> <li>b) by how many months are you in arrearage? months</li> <li>c) what is the total amount of your arrearage?</li> <li>d) are you currently subject to a repayment agreement to cure the arrearage? (If you answer yes, provide documentation showing an approved repayment plan from the appropriate state child support agency.)</li> <li>e) are you in compliance with said repayment agreement? (If you answer yes, provide documentation showing</li> </ul>					
					proof of current payments from the appropriate state child support agency.) f) are you the subject of a child support related subpoena/warrant? (If you answer yes, provide documentation
	□yes □no □yes □no				

### APPLICANT'S CERTIFICATION AND ATTESTATION

37. The Applicant must read the following very carefully:

- 1. I hereby certify, under penalty of perjury, that all of the information submitted in this application and attachments is true and complete. I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license revocation or denial of the license and may subject me to civil or criminal penalties.
- 2. I hereby designate the Director of the Department of Commerce and Insurance to be my agent for service of process regarding all insurance matters and matters concerning motor vehicle extended service contracts in Missouri and agree that service upon the Director is of the same legal force and validity as personal service upon me.
- 3. I further certify that I grant permission to the Director to verify my information with any federal, state and/or local government agency, current or former employer, or insurance company.
- 4. I further certify, under penalty of perjury, that a) I have no outstanding state or federal income tax obligations, or b) I have an outstanding state or federal income tax obligation and I have provided all information and documentation requested in Background Information Question 36.4.
- 5. I further certify, under penalty of perjury, that a) I have no child support obligation, b) I have a child support obligation and I am currently in compliance with that obligation, or c) I have a child support obligation that is in arrears, I am in compliance with a repayment plan to cure the arrears, and I have provided all information and documentation requested in Background Information Question 36.7.
- 6. I authorize the Director to give any information concerning me, as permitted by law, to any federal, state or municipal agency, or any other governmental organization. I further release the Director and all persons acting on the Director's behalf from any and all liability of whatever nature by reason of furnishing such information.
- 7. I acknowledge that I understand and will comply with the motor vehicle extended service contract laws and regulations of Missouri and of any other jurisdiction to which I apply for licensure.
- For Non-Resident License Applications, I certify that I am licensed and in good standing in my home state/resident state for the lines of authority requested from Missouri. (Applies only if Applicant's home state/resident state issues licenses that authorize the marketing of motor vehicle extended service contracts.)

APPLICANT'S CERTIFICATION AND ATTESTATION (CONTINUED)					
APPLICANT'S ORIGINAL SIGNATURE					
FULL LEGAL NAME (PRINTED OR TYPED)	TITLE				
MONTH/DAY/YEAR					

# INSTRUCTIONS

1. All applicants must submit a nonrefundable \$25 application fee in the form of a check or money order, made payable to Department of Commerce and Insurance.

### Email Completed Application and Attachments To: <a href="mailto:dci.ins.deposit@insurance.mo.gov">dci.ins.deposit@insurance.mo.gov</a>

Applications submitted via email will receive a response email outlining convenient electronic payment instructions.

OR

### Mail Completed Application and Attachments To:

Missouri Department of Commerce and Insurance P.O. Box 4001 Jefferson City, MO 65102 Payment will be in the form of a check or money order.