

STATE OF MISSOURI



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DEPT. OF INSURANCE
FINANCIAL INSTITUTIONS &
PROFESSIONAL REGISTRATION

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

In Re: Douglas Simon
3300 Business Park Drive
Stevens Point, WI 54482-8851

File Number 119592

VOLUNTARY FORFEITURE

It is hereby agreed by Douglas Simon and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri, (hereinafter, Director) whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance:

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections

374.085 and 374.190, RSMo 2000 and is authorized by the Director to recommend enforcement action under the laws relating to insurance:

WHEREAS, Douglas Simon has applied for a nonresident producer license with the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapters 374 and 375, RSMo:


WHEREAS, Douglas Simon provided materially incorrect, misleading, incomplete or untrue information on the license application with the Department of Insurance, Financial Institutions, and Professional Registration, which is grounds for discipline under Section 375.141.1 (1) RSMo (Supp. 2010), when he failed to report that he had been the subject of a license denial from the state of Wisconsin on April 27, 2010, subjecting him to enforcement action by the director:

WHEREAS, Douglas Simon has been informed of the nature of the violations, of his right to counsel and of his right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline his license, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that he understands his rights to contest any such actions:


NOW, THEREFORE, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to deny the non-resident license application of Douglas Simon or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, Douglas Simon does hereby voluntarily and knowingly surrender and forfeit the sum of \$250 (Two Hundred and Fifty Dollars) such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

Douglas Simon shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than March 16, 2011.


DATED: 2/28/2011


Douglas Simon
Producer

DATED: 3/15/2011


Angela Nelson
Director of Consumer Affairs
Division

DATED: 3-18-11


John M. Huff, Director
Department of Insurance, Financial
Institutions, and Professional
Registration

Please return original to:
Sheri Sloan
PO Box 4001
Jefferson City, MO 65102