



**DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION**

P.O. Box 690, Jefferson City, Mo. 65102-0690

**In the Matter of:**

**DIANNE G. PATTERSON,**

**Respondent.**

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**Case No. 13-0411286C**

**CONSENT ORDER**

John M. Huff, Director of the Department of Insurance, Financial Institutions and Professional Registration, takes up the above-referenced matter for consideration and disposition. The Consumer Affairs Division, through legal counsel Tammy S. Kearns, and Respondent Dianne G. Patterson have reached a settlement in this matter and have consented to the issuance of this Consent Order.

1. John M. Huff is the duly appointed Director of the Missouri Department of Insurance, Financial Institutions and Professional Registration (“Director” of the “Department”) whose duties, pursuant to Chapters 374 and 375, RSMo,<sup>1</sup> include supervision, regulation, and discipline of insurance producers authorized to conduct business in the state of Missouri.

2. The Consumer Affairs Division (“Division”) of the Department has the duty of conducting investigations into the unfair or unlawful acts of insurance producers and companies

<sup>1</sup> All statutory references are to the 2012 Supplement to the Revised Statutes of Missouri unless otherwise noted.

under the insurance laws of this state and is authorized to initiate actions before the Director to enforce the insurance laws of this state.

3. The Department first issued Respondent Dianne G. Patterson ("Patterson") a non-resident insurance producer license (No. 0317888) on October 9, 2003. Patterson's license was subsequently renewed and is set to expire on October 9, 2013.

4. On August 27, 2012, the Kansas Commissioner of Insurance revoked Patterson's resident insurance agent's license. *In the Matter of the Kansas Resident Insurance Agent's License of Dianne G. Patterson*, Kansas Commissioner of Insurance, Docket No. 4481-SO.

5. The revocation of Patterson's Kansas insurance agent's license is a ground for discipline of her insurance producer license pursuant to §375.141.1(9).

6. Patterson never reported the administrative action taken by the Kansas Commissioner of Insurance to the Director. Patterson's failure to report to the Director the administrative action taken against her in another jurisdiction within thirty days of the final disposition of the matter is a violation of § 375.141.6 and a ground for discipline of Patterson's insurance producer license pursuant to § 375.141.1(2) for violating an insurance law.

7. On December 5, 2012, Division Special Investigator Julie Hesser ("Investigator Hesser") sent an inquiry to Patterson requesting information regarding the revocation of Patterson's Kansas license. On January 29, 2013, Investigator Hesser sent a follow-up inquiry to Patterson, again requesting information regarding the revocation of Patterson's Kansas license.

8. Patterson failed to respond to Investigator Hesser's inquiry letters or demonstrate a reasonable justification for the delay. Patterson's failure to respond to the inquiry letters from the Division is a violation of 20 CSR 100-4.100 and a ground for discipline of Patterson's insurance producer license pursuant to § 375.141.1(2) for violating a regulation.

9. On May 14, 2013, counsel for the Division provided a written description of the specific conduct for which discipline was sought and a citation to the law and rules allegedly violated, together with copies of any documents upon which it based the allegations, and the Division's settlement offer, namely, this Consent Order, in accordance with § 621.045.4(1).

10. Patterson admits, acknowledges, and understands that under §§ 375.141.1(2) and (9), the Director may discipline Patterson's non-resident insurance producer license.

11. Patterson acknowledges and understands that she has the right to consult counsel at her own expense.

12. Patterson has been advised that she may, either at the time the Consent Order is signed by all parties, or within 15 days thereafter, submit the Consent Order to the Administrative Hearing Commission for a determination that the facts agreed to by the parties to the Consent Order constitute grounds for discipline of Patterson's insurance producer license.

13. Except as provided in paragraph 12 above, Patterson stipulates and agrees to waive any waivable rights that she may have to a hearing before the Administrative Hearing Commission or the Director and any rights to challenge, contest, or seek judicial review of the terms and conditions of this Consent Order and forever releases and holds harmless the Department, the Director and his agents, and the Division from all liability and claims arising out of, pertaining to, or relating to this matter.

14. Each signatory to this Consent Order certifies by signing that he or she is fully authorized, in his or her own capacity, or by the named party he or she represents, to accept the terms and provisions of this Consent Order in their entirety, and agrees, in his or her personal or representational capacity, to be bound by the terms of this Consent Order.

**Conclusions of Law**

15. The actions admitted by Dianne G. Patterson are grounds to discipline Patterson's insurance producer license pursuant to §§ 375.141.1(2) and (9).

16. The Director may enter orders in the public interest under § 374.046.


17. The terms set forth in this Consent Order are an appropriate disposition of this matter and entry of this Consent Order is in the public interest.

**ORDER**

IT IS ORDERED that Respondent Dianne G. Patterson's non-resident insurance producer license (No. 0317888) is hereby REVOKED.

SO ORDERED, SIGNED, AND OFFICIAL SEAL AFFIXED THIS 22<sup>nd</sup> DAY OF JULY, 2013.



  
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JOHN M. HUFF, Director  
Missouri Department of Insurance, Financial  
Institutions and Professional Registration

CONSENT AND WAIVER OF HEARING

The undersigned persons understand and acknowledge that Dianne G. Patterson has a right to a hearing, but that Dianne G. Patterson has waived the hearing and consented to the issuance of this Consent Order.

Dianne G. Patterson  
Dianne G. Patterson  
Respondent  
16313 S. Chester  
Olathe, Kansas 66062

8 July 2013  
Date

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Counsel for Dianne G. Patterson  
Name: \_\_\_\_\_  
Missouri Bar No. \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Telephone: \_\_\_\_\_

\_\_\_\_\_  
Date

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July 19, 2013  
Date