



# Director's Regulatory Summit

2016

## Legal Track Agenda

### Confidentiality and Regulatory Information Sharing

The ability of an insurance regulator to receive and share confidential information is critical to effectively regulating insurance markets. As regulatory informational needs increase, insurance companies want to understand how proprietary and trade secret information will be protected and how it will be used by regulators. This session will identify common confidentiality issues and how departments of insurance collect and share information, particularly as that pertains to recently updated model laws and regulations.

### Managing Enterprise Risk Management

Effectively identifying and managing risk in today's global regulatory environment is crucial. Regulations will discuss ORSA and changes in the Model Holding Company Law and high changes that industry compliance professionals need to be aware of. This session will also highlight the new regulatory tools for Form F filing reviews, give an overview of the Form F review process and how that process may evolve.

### Federal and State Case Law Update

Federal and state courts across the country routinely decide cases which directly impact the insurance industry. In this session, hear about recent cases from 2015 and 2016 that impact the insurance industry and insurance regulation.

### Representing the Client in Regulatory Matters

The insurance industry sees a level of regulation not seen in other industries. The level of interaction at every point in the insurance distribution channel requires a synergistic relationship between the regulator and the regulated entity. In this session, hear strategies for effective regulatory interactions, how to build a solid foundation of trust and common purpose which will permit dynamic discourse on the myriad of regulatory issues that will certainly arise.

### What's on the Horizon of Insurance Regulation?

The solvency monitoring regime is continuously evolving, particularly as model laws continue to be refined. In this session, attendees will learn about the history behind various model laws and learn which model laws will become accreditation requirements in the future. The NAIC Corporate Governance Model Law, the Model Audit Law and Credit for Reinsurance are among the model laws that will be discussed.

