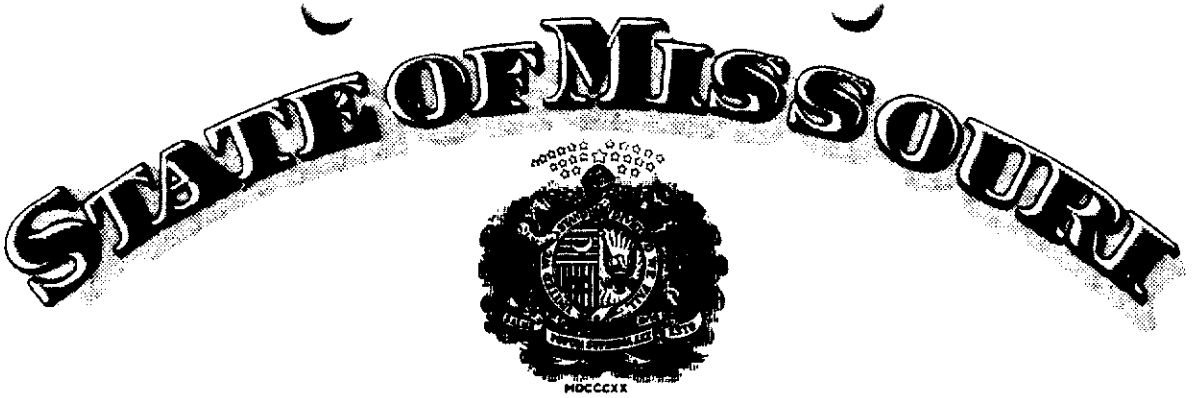

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

Our File Number 09A000201

IN RE: MARY M RODE WOOD
WALLSTREET FINANCIAL GROUP
211 MARSHALL ST
JEFFERSON CITY NO 65101

VOLUNTARY FORFEITURE

It is hereby agreed by MARY M RODE WOOD and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, Director) whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Section 374.085, RSMo 2000 and is authorized by the Director to recommend enforcement action

under the laws relating to insurance.

WHEREAS, MARY M RODE WOOD is licensed as an insurance producer by the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapter 375, RSMo;

WHEREAS, the investigation by the Consumer Affairs Division determined MARY M RODE WOOD violated our insurance laws and is subject to enforcement action by the Director for the following:

> Violation of Sections 375.141.1(8) RSMo (Cum. Supp. 2008) and 375.936(7) RSMo 2000, by submitting an insurance application declaring another producer as the producer of record, which is grounds for discipline under Sections 375.141.1(7) and 375.145.1 RSMo (Cum. Supp. 2008).

WHEREAS, MARY M RODE WOOD has been informed of the nature of her violations, of her right to counsel and of her right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline her insurance producer license, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that she understands her rights to contest any such actions;

NOW, THEREFORE, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to revoke or suspend the insurance producer license of MARY M RODE WOOD or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, MARY M RODE WOOD, without any admission of a violation of the law, does hereby voluntarily and knowingly surrender and forfeit the sum of $500.00, such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

MARY M RODE WOOD shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than September 9, 2009.

DATED: Sept. 9. 2009

MARY M RODE WOOD
Producer

DATED: 9-16-09

ANGELA L NELSON
Division Director

DATED: 9.30.09

JOHN M HUFF
Director
Department of Insurance, Financial Institutions & Professional Registration

Return to:
Department of Insurance, Financial Institutions & Professional Registration
Attn: Dennis Fitzpatrick
P. O. Box 4001
Jefferson City, MO 65102