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DEPT OF INSURANCE, FINANCIAL INSTITUTIONS & PROFESSIONAL REGISTRATION

STATE OF MISSOURI

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DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

Our File Numbers 0A000182 & 07A000183

IN RE: Donald G. Jones
223 N Catamount
Branson West MO 65737

VOLUNTARY FORFEITURE

It is hereby agreed by DONALD G. JONES and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, Douglas M. Ommen is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, Director) whose duties, pursuant to Chapter 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Section 374.085, RSMo 2000 and is authorized by the Director to recommend enforcement action under the laws relating to insurance.

WHEREAS, DONALD G. JONES is licensed as an insurance producer by the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapter 375, RSMo;

WHEREAS, information has been received by the Consumer Affairs Division concerning DONALD G. JONES, which alleges the following violations, grounds for enforcement action by the Director against the insurance producer license of DONALD G. JONES:

- Violation of Section 375.936(6)(a) RSMo 2000, misrepresented the benefits, advantages, conditions, or terms of any insurance policy, grounds for discipline under Section 375.141.1(7) RSMo Cum Supp 2006,

- Violation of Section 375.936(6)(f) RSMo 2000, misrepresented for the purpose to induce the surrender of an insurance contract and to induce the purchase of a new insurance contract, grounds for discipline under Section 375.141.1(7) RSMo Cum Supp 2006,

- Violation of Section 375.936(12)(a) RSMo 2000, directly or indirectly gave advise relating to investments, grounds for discipline under Section 375.141.1(7) RSMo Cum Supp 2006,

- Violation of 20 CSR 400-5.600, demonstrated untrustworthiness by using the existence of the Missouri Life and Health Insurance Guaranty Association to induce the purchase of an annuity, grounds for discipline under Section 375.141.1(8) RSMo Cum Supp 2006,

- Used coercive and dishonest practices, demonstrated incompetence and

untrustworthiness in the sale of the Allianz Life annuity to Claudia J Little & James D Walker, grounds for discipline under Section 375.141.1(8) RSMo Cum Supp 2006, subjecting him to enforcement action by the Director;

WHEREAS, DONALD G. JONES has been informed of the nature of his violations, of his right to counsel and of his right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline his insurance producer license, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that he understands his rights to contest any such actions;

NOW, THEREFORE, in complete settlement of the investigation in File Number 07A000182 & 07A000183, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to revoke or suspend the insurance producer license of DONALD G. JONES or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, DONALD G. JONES, without any admission of a violation of the law, does hereby voluntarily and knowingly surrender and forfeit the sum of $1,000.00, such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

DONALD G. JONES shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than July 3, 2007.

DATED: August 17, 2007

DOUGLAS M. OMMEN
Director

DATED: 7-11-07

DONALD G. JONES

DATED: 7/17/07

Kathyrn L.R. Turner
Consumer Affairs Division Counsel

Return to:
Department of Insurance, Financial Institutions & Professional Registration
Attn: Dennis Fitzpatrick
P. O. Box 690
Jefferson City, MO 65102