STATE OF MISSOURI

MDCCCXX

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

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SEP 04 2008
DEPT OF INSURANCE, FINANCIAL INSTITUTIONS & PROFESSIONAL REGISTRATION

IN RE: DENNIS PAUL COOPER
1623 Forestview Ridge Lane
Ballwin, Missouri 63021

Our File Number 08A000444

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SEP 08 2008
MO. DEPT OF INSURANCE, FINANCIAL INSTITUTIONS & PROFESSIONAL REGISTRATION

VOLUNTARY FORFEITURE

It is hereby agreed by DENNIS PAUL COOPER and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, Linda Bohrer, or her successor, is the duly appointed Acting Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, Director) whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Section 374.085, RSMo 2000 and is authorized by the Director to recommend enforcement action

under the laws relating to insurance.

WHEREAS, DENNIS PAUL COOPER has previously been licensed as an insurance producer by the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapter 375, RSMo;

WHEREAS, the investigation by the Consumer Affairs Division revealed DENNIS PAUL COOPER was the subject of a FINRA disciplinary action resulting in a permanent bar from association with any securities broker-dealer firm for signing the name of his manager on change-of dealer forms and new account applications without written authorization; and for failure to report such administrative action to the Director, he is subject to enforcement action by the Director for the following:

Violation of Section 375.141.1(9), by having an insurance producer license, or its equivalent, denied suspended or revoked in any other state, province, district or territory, and

Violation of Section 375.141.6, by failing to report any administrative action taken against the producer in another jurisdiction or by another governmental agency in this state within thirty days of the final disposition of the matter, which are grounds for discipline under Section 375.141.1(2) RSMo Cum Supp 2007.

WHEREAS, DENNIS PAUL COOPER has been informed of the nature of his violations, of his right to counsel and of his right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline his insurance producer license, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that he understands his rights to contest any such actions;

NOW, THEREFORE, in complete settlement of the investigation in File Number 08A000444, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to revoke or suspend the insurance producer license of DENNIS PAUL COOPER or to impose any other penalties

provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, DENNIS PAUL COOPER, without any admission of a violation of the law, does hereby voluntarily and knowingly surrender and forfeit the sum of two thousand dollars ($2,000.00), such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

DENNIS PAUL COOPER shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made **payable to the Missouri State School Fund** no later than September 5, 2008.

DATED: 9/17/08

LINDA BOHRER
Acting Director

DATED: 8-03-2008

DENNIS PAUL COOPER
Producer

DATED: 9-18-08

MARY KEMPKER
Division Director

Return to:
Department of Insurance, Financial Institutions
& Professional Registration
Attn: Ron Harrod
P. O. Box 4001
Jefferson City, MO 65102