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DEPT OF INSURANCE, FINANCIAL INSTITUTIONS & PROFESSIONAL REGISTRATION

STATE OF MISSOURI

MDCCCXX

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

VOLUNTARY FORFEITURE

TO: Barbara A Burgess
4288 S Cheyenne Ln
Rogersville, MO 65742

RE: Our File Number 06A000574

It is hereby agreed by Douglas M. Ommen, Director of the Department of Insurance, Financial Institutions & Professional Registration and Barbara A Burgess as follows:

WHEREAS, Douglas M. Ommen is the duly appointed Director of the Department of Insurance, Financial Institutions & Professional Registration, State of Missouri;

WHEREAS, Barbara A Burgess is licensed as an insurance producer by the Department of Insurance, Financial Institutions & Professional Registration pursuant to Chapter 375, RSMo;

WHEREAS, information has been received concerning Barbara A Burgess that alleges she distributed an advertisement misrepresenting an annuity product by being misleading, in an ambiguous fashion with intermingled text demonstrating incompetence and untrustworthiness, a ground for discipline under Section 375.141.1(8), Section 375.936(6), Regulations 20 CSR 400-5.100 and 20 CSR 400-5.200;

WHEREAS, Barbara A Burgess has been informed of the nature of said violations, of her right to counsel and of her right to contest any attempt by the Department of Insurance, Financial Institutions & Professional Registration to REVOKE her insurance producer's license, or to recover whatever penalties may be provided for by statute and states that she understands her rights to contest any such attempt;

NOW, THEREFORE, in complete settlement of File Number 06A000574, in lieu of the institution by the Department of Insurance, Financial Institutions & Professional Registration of any action to revoke the insurance producer's license of Barbara A. Burgess or to impose any other penalties provided for by statute for the above-described violation(s) of Section 375.141 RSMo, after being afforded the opportunity to consult legal counsel, Barbara A. Burgess does hereby voluntarily and knowingly surrender and forfeit the sum of five hundred ($500.00) DOLLARS, such sum to be paid into the Missouri State School Fund pursuant to Section 374.280, RSMo.

Barbara A. Burgess shall submit this sum to the DIFP by cashier's check made payable to the Missouri State School Fund no later than January 30, 2007.

DATED: 1/22/07

DOUGLAS M. OMMEN, Director
Department of Insurance
State of Missouri

DATED: 1/22/07

Kathyrn L.R. Turner
Senior Counsel
Division of Consumer Affairs

DATED: 01/16/2007

Barbara A. Burgess