DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

Our File Number 05A000628 & 05A000644

IN RE: Keith Berg
319 Calliope Pl
Chesterfield, MO 63017

VOLUNTARY FORFEITURE

It is hereby agreed by KEITH A BERG and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, Douglas M. Ommen is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, Director) whose duties, pursuant to Chapter 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Section 374.085, RSMo 2000 and is authorized by the Director to recommend enforcement action under the laws relating to insurance.

WHEREAS, KEITH A BERG is licensed as an insurance producer by the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapter 375, RSMo;

WHEREAS, information has been received by the Consumer Affairs Division concerning KEITH A BERG, which alleges he demonstrated incompetence in his transactions involving File Numbers 05A000628 & 05A000644, in violation of Section 375.936(6)(a) & (f) RSMo 2000, grounds for discipline under Section 375.141.1(8) RSMo Cum Supp 2006 subjecting him to enforcement action by the Director;

WHEREAS, KEITH A BERG has been informed of the nature of his violations, of his right to counsel and of his right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline his insurance producer license, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that he understands his rights to contest any such actions;

NOW, THEREFORE, in complete settlement of the investigation in File Numbers 05A000628 & 05A000644, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to revoke or suspend the insurance producer license of KEITH A BERG or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, KEITH A BERG, without any admission of any wrongdoing, of a violation of the law, or any admission that there are grounds for discipline of his license, subjecting him to enforcement action by the Director; does hereby voluntarily and knowingly surrender and forfeit the sum of $1,000.00, such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

KEITH A BERG shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than February 26, 2007.

DATED: February 14, 2007

DOUGLAS M. OMMEN
Director

DATED: 2-7-7

Keith A Berg

DATED: 2/14/07

Kathyrn L. R. Turner
Consumer Affairs Division Counsel

Return to:
Department of Insurance, Financial Institutions & Professional Registration
Attn: Dennis Fitzpatrick
P. O. Box 690
Jefferson City, MO 65102