

Jeremiah W. (Jay) Nixon
Governor
State of Missouri



Department of Insurance
Financial Institutions
and Professional Registration
John M. Huff, Director

Memo

To: Angela Nelson

From: Sheri Sloan

Date: March 15, 2011

Re: Douglas Simon (File Tracking Number 119592 e)

I am attaching a copy of the original memo I prepared on the above-referenced case with the Voluntary Forfeiture Agreement that Douglas Simon signed and returned. I would appreciate if you could sign the agreement and forward it to Director Huff for his signature. Thank you.

Jeremiah W. (Jay) Nixon
Governor
State of Missouri



Department of Insurance
Financial Institutions
and Professional Registration
John M. Huff, Director

Memo

To: Carrie Couch, Angela Nelson

From: Sheri D. Sloan

Date: January 12, 2010

Re: Enforcement Tracking Number 119592/Douglas Simon

I recommend a Voluntary Forfeiture of \$250 to Douglas Simon for his failure to report an administrative action from Wisconsin on his non-resident insurance license application. Wisconsin Office of Insurance Commissioner denied Simon's resident license application for thirty-one days for failing to report a misdemeanor conviction. Simon received a Wisconsin resident producer's license on May 28, 2010.

Simon reported to the attachment warehouse denials or withdrawals in Colorado and Idaho, but both of those states issued him a license recently.

Simon's misdemeanor convictions were for Disorderly Conduct and Domestic Abuse.

The following is grounds for discipline in Missouri:

Section 375.141.1 RSMo (Cum. Supp. 2009) – The director may suspend, revoke, refuse to issue or refuse to renew an insurance producer license for any one or more of the following causes:

- (1) Intentionally providing materially incorrect, misleading, incomplete or untrue information in the license application;

Please approve the VFA attached. If any questions, feel free to ask.

~~Debra~~ Simon, Douglas
100/SS 3/9

TRAVEL GUARD® GROUP, INC.

3300 BUSINESS PARK DRIVE
STEVENS POINT, WI 54482
PH. (715) 345-1041

ASSOCIATED BANK
WISCONSIN
79-937/759

651813

DATE

3/3/2011

AMOUNT

\$250.00

OK TO RUN

MAR 11 2011

1521

1521

MAR 11 2011

OK TO RUN

James L. Wood
Robert W. Wood

AUTHORIZED SIGNATURE

PAY

Two Hundred Fifty Dollars and 00 Cents

TO THE
ORDER
OF

Missouri State School Fund
PO Box 4001
Jefferson City MO 65102

⑈651813⑈ ⑆075909372⑆

2233026745⑈



RECEIVED
MAR 09 2011
DEPT OF INSURANCE
FINANCIAL INSTITUTIONS &
PROFESSIONAL REGISTRATION

**DEPARTMENT OF INSURANCE, FINANCIAL
INSTITUTIONS AND PROFESSIONAL REGISTRATION**

P.O. Box 690, Jefferson City, Mo. 65102-0690

In Re: Douglas Simon
3300 Business Park Drive
Stevens Point, WI 54482-8851

File Number 119592

VOLUNTARY FORFEITURE

It is hereby agreed by Douglas Simon and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri, (hereinafter, Director) whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections

374.085 and 374.190, RSMo 2000 and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Douglas Simon has applied for a nonresident producer license with the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapters 374 and 375, RSMo;

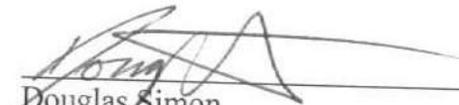
WHEREAS, Douglas Simon provided materially incorrect, misleading, incomplete or untrue information on the license application with the Department of Insurance, Financial Institutions, and Professional Registration, which is grounds for discipline under Section 375.141.1 (1) RSMo (Supp. 2010), when he failed to report that he had been the subject of a license denial from the state of Wisconsin on April 27, 2010, subjecting him to enforcement action by the director;

WHEREAS, Douglas Simon has been informed of the nature of the violations, of his right to counsel and of his right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline his license, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that he understands his rights to contest any such actions;

NOW, THEREFORE, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to deny the non-resident license application of Douglas Simon or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, Douglas Simon does hereby voluntarily and knowingly surrender and forfeit the sum of \$250 (Two Hundred and Fifty Dollars) such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

Douglas Simon shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than March 16, 2011.

DATED: 2/28/2011


Douglas Simon
Producer

DATED: 3/15/2011


Angela Nelson
Director of Consumer Affairs
Division

DATED: 3-18-11


John M. Huff, Director
Department of Insurance, Financial
Institutions, and Professional
Registration

Please return original to:
Sheri Sloan
PO Box 4001
Jefferson City, MO 65102