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DEPT OF INSURANCE,
FINANCIAL INSTITUTIONS &
PROFESSIONAL REGISTRATION

STATE OF MISSOURI

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DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

In Re: Southeast Surplus Underwriters General Agency, Inc.
PO Box 3730
Beaumont, TX 77704

Tracking ID 178432

VOLUNTARY FORFEITURE

It is hereby agreed by Southeast Surplus Underwriters General Agency, Inc. and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri, (hereinafter, Director) whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo 2000 and

is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Southeast Surplus Underwriters General Agency, Inc. has a Missouri license as a nonresident business entity producer with the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapters 374 and 375, RSMo;

WHEREAS, information has been received by the Consumer Affairs Division that Southeast Surplus Underwriters General Agency, Inc. failed to report an administrative action within thirty days of the final disposition of the matter, which is a violation of Section 375.141.6, RSMo (Supp. 2011) and a ground for discipline under Section 375.141.1(2), RSMo (Supp. 2011), subjecting Southeast Surplus Underwriters General Agency, Inc. to enforcement action by the Director;

WHEREAS, Southeast Surplus Underwriters General Agency, Inc. has been informed of the nature of the violation, of its right to counsel and of its right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline its license, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that it understands its rights to contest any such actions;

NOW, THEREFORE, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to refuse to renew the license of Southeast Surplus Underwriters General Agency, Inc., or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, Southeast Surplus Underwriters General Agency, Inc. does hereby voluntarily and knowingly surrender and forfeit the sum of $250.00, such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

Southeast Surplus Underwriters General Agency, Inc. shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than November 8, 2012.

DATED: 11/8/2012

Southeast Surplus Underwriters General Agency, Inc.
By:
License Number 8025190

DATED: 11.20.12

Matt Barton
Director, Division of Consumer Affairs

DATED: 11.21.12

John M. Huff, Director
Department of Insurance, Financial Institutions and Professional Registration

Please return original to:
Karen Crutchfield
PO Box 4001
Jefferson City, MO 65102