State of Missouri

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

IN RE:	)	
	)	
NORVELLE ALEXANDER MAUPINS,	)	**Case No. 160330196C**
	)	
Renewal Applicant.	)	

ORDER REFUSING TO RENEW INSURANCE PRODUCER LICENSE

On April 20, 2016, the Consumer Affairs Division submitted a Petition to the Director alleging cause to refuse to issue an insurance producer license to Norvelle Alexander Maupins. After reviewing the Petition, the Investigative Report, and the entirety of the file, the Director issues the following findings of fact, conclusions of law, and order:

FINDINGS OF FACT

1. Norvelle Alexander Maupins ("Maupins") is a Missouri resident with an address of record of 37 Muir Street, Eureka, MO 63025.

2. The Department of Insurance, Financial Institutions and Professional Registration ("Department") issued Maupins a resident insurance producer license (License No. 0328152; National Producer No. 8050332) on April 21, 2004, which he subsequently renewed, and which is set to expire on April 21, 2016.

3. The Department received Maupins's completed Application for Resident Insurance Producer License Renewal ("Renewal Application") on March 11, 2016.

4. Background Question No. 1B of the Renewal Application asked, in pertinent part:

   > Have you been convicted of a felony, had a judgment withheld or deferred, or are you currently charged with committing a felony, which has not been previously reported to this insurance department?

5. Maupins answered "Yes" in response to Background Question No. 1B.

6. Maupins submitted a letter disclosing that he had been convicted of a "DUI offense," and that he was on probation. Maupins also provided an Order of Probation relating to *State v. Norvelle Alexander Maupins*, Jefferson Co. Cir. Ct., Case No. 14JE-CR03484-01, and a Level 1 Supervision Contract, describing the conditions of his supervised probation.

7. On November 2, 2015, Maupins pled guilty to DWI – Alcohol – Persistent Offender, a Class D Felony in violation of § 577.010, RSMo.[1] On November 2, 2015, the court sentenced Maupins to three years' confinement with the Department of Corrections, suspended execution of Maupins's sentence, and placed Maupins on five years' probation. *State v. Norvelle Alexander Maupins*, Jefferson Co. Cir. Ct., Case No. 14JE-CR03484-01

8. Maupins did not report the criminal prosecution in case number 14JE-CR03484-01 within thirty days of his initial pretrial hearing date. Maupins did not report the prosecution to the Director at any time prior to his Renewal Application.

CONCLUSIONS OF LAW

9. Section 375.141 RSMo (Supp. 2013)[2] provides, in relevant part:

> 1. The director may suspend, revoke, refuse to issue or refuse to renew an insurance producer license for any one or more of the following causes:
>
> * * *
>
> (2) Violating any insurance laws, or violating any regulation, subpoena or order of the director or of another insurance commissioner in any other state [or]
>
> * * *
>
> (6) Having been convicted of a felony or crime involving moral turpitude[.]
>
> * * *
>
> 7. Within thirty days of the initial pretrial hearing date, a producer shall report to the director any criminal prosecution for a felony or a crime involving moral turpitude of the producer taken in any jurisdiction. The report shall include a copy of the indictment or information filed, the order resulting from the hearing and any other relevant legal documents.

10. The Director may refuse to renew Maupins's insurance producer license pursuant to § 375.141.1(2) because Maupins violated an insurance law, namely § 375.141.7, when he failed to report his criminal prosecution in case number 14JE-CR03484-01 within thirty days of his initial pretrial hearing date. Maupins was convicted of DWI – Alcohol – Persistent Offender, a Class D Felony, on November 2, 2015; Maupins did not report his felony prosecution to the Director at any point prior to his Renewal Application.

---

[1] All references to criminal statutes are to those contained in the version of the Revised Statutes of Missouri under which the court rendered judgment.

[2] All civil statutory references are to the Revised Statutes of Missouri (2000) as updated by the 2013 Supplement, unless otherwise indicated.

11. The Director may refuse to renew Maupins's insurance producer license pursuant to § 375.141.1(6) because Maupins has been convicted of a felony or crime involving moral turpitude, specifically:

    a. DWI – Alcohol – Persistent Offender, a Class D Felony in violation of § 577.010, RSMo. *State v. Norvelle Alexander Maupins*, Jefferson Co. Cir. Ct., Case No. 14JE-CR03484-01.

12. The Director has considered Maupins' history and all of the circumstances surrounding his Renewal Application. Accordingly, the Director exercises his discretion to refuse to renew Maupins's insurance producer license.

13. This Order is in the public interest.

ORDER

IT IS THEREFORE ORDERED that **Norvelle Alexander Maupins's** insurance producer license renewal application is hereby **REFUSED**.

SO ORDERED.

WITNESS MY HAND THIS 20th **DAY OF** APRIL**, 2016.**

JOHN M. HUFF
DIRECTOR

NOTICE

TO: Applicant and any unnamed persons aggrieved by this Order:

You may request a hearing in this matter. You may do so by filing a complaint with the Administrative Hearing Commission of Missouri, P.O. Box 1557, Jefferson City, Missouri, within 30 days after the mailing of this notice pursuant to Section 621.120, RSMo. Pursuant to 1 CSR 15-3.290, unless you send your complaint by registered or certified mail, it will not be considered filed until the Administrative Hearing Commission receives it.

CERTIFICATE OF SERVICE

I hereby certify that on this 20th day of April, 2016, a copy of the foregoing Order and Notice was served upon the Applicant in this matter by UPS, signature required, to the following address:

Norvelle Maupins
37 Muir Street,
Eureka, Missouri 63025

Tracking No. 1Z0R15W84298257312

Kathryn Latimer
Paralegal
Missouri Department of Insurance, Financial Institutions and Professional Registration
301 West High Street, Room 530
Jefferson City, Missouri 65101
Telephone: 573.751.6515
Facsimile: 573.526.5492
Email: kathryn.latimer@insurance.mo.gov