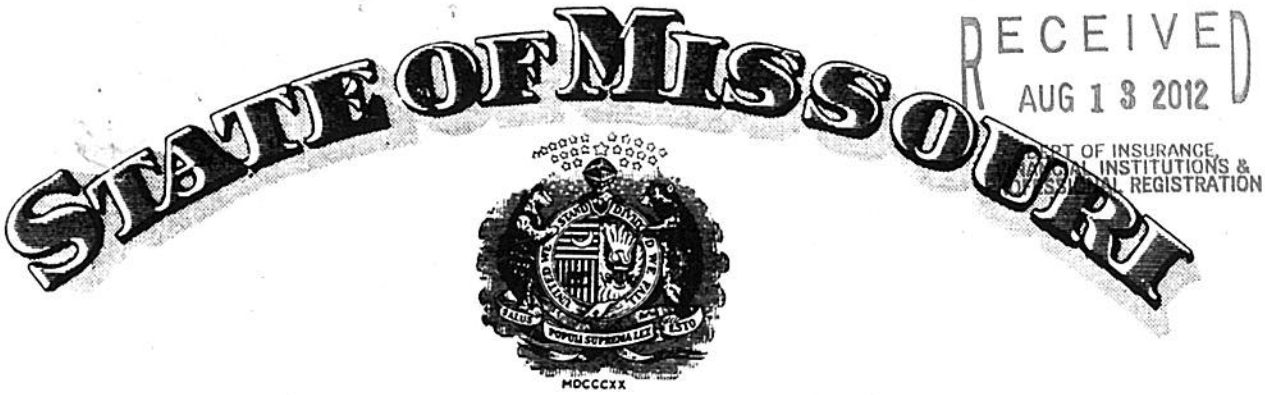

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AUG 13 2012
DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS & PROFESSIONAL REGISTRATION

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

In Re: Roger Metzger Jr.
80 Maiden Lane
New York, NY 10038

Tracking ID 171354

VOLUNTARY FORFEITURE

It is hereby agreed by Roger Metzger Jr. and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri, (hereinafter, Director) whose duties, pursuant to Chapters 374, 375, and 384 RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo 2000 and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Roger Metzger Jr. is licensed as a nonresident producer and his surplus lines producer license expired April 5, 2012 with the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapters 374, 375 and 384 RSMo;

WHEREAS, information has been received by the Consumer Affairs Division that Roger Metzger Jr. failed to file his Surplus Lines Appendix 1 First Quarter Report before May 15, 2012, and Roger Metzger Jr. failed to respond within fifteen days after being given notification of his lack of filing, which is a violation pursuant to Sections 384.057.2 and 374.215.1, RSMo (Supp. 2011) and a ground for discipline under Sections 375.141.1(2) and 384.065(4), RSMo (Supp. 2011), subjecting Roger Metzger Jr. to enforcement action by the Director;

WHEREAS, Roger Metzger Jr. has been informed of the nature of the violations, of his right to counsel and of his right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline his licenses, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that he understands his rights to contest any such actions;

NOW, THEREFORE, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to revoke the surplus lines license and producer license of Roger Metzger Jr., or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, Roger Metzger Jr. does hereby voluntarily and knowingly surrender and forfeit the sum of $1,000, such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

Roger Metzger Jr. shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than August 7, 2012.

DATED: 8/10/12

Roger Metzger Jr.
Missouri license number 0428984

DATED: 8.15.12

Matt Barton,
Director, Division of Consumer Affairs

DATED: 8.16.12

John M. Huff, Director
Department of Insurance, Financial
Institutions and Professional Registration

Please return original to:
Karen Crutchfield
PO Box 4001
Jefferson City, MO 65102