DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: MARTIN AN ASSOCIATES, LLC
614 E 99TH STREET
KANSAS CITY, MO 64131

TRACKING ID 252948 E

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Martin An Associates, LLC and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Martin An Associates, LLC ("Martin An Associates") has a business entity

producer license with the Department, pursuant to Chapter 375, RSMo;

WHEREAS, the Consumer Affairs Division has received information concerning Martin An Associates failure to disclose a regulatory action from the Georgia Department of Insurance, which is a violation of Section 375.141.6, RSMo (Supp. 2013) and Section 374.210.1(1), RSMo (Non Cum. Supp. 2014), and subjects Martin An Associates to enforcement action by the Director;

WHEREAS, Martin An Associates has been informed of its right to counsel and of its right to contest any attempt by the Department to revoke its business entity producer license, and states that it understand its rights to contest any such actions;

AND WHEREAS, Martin An Associates acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Consumer Affairs Division based on an additional violation of the insurance laws or regulations by Martin An Associates, in which action the Director or the Consumer Affairs Division alleges that the violation described herein forms part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Martin An Associates are committed knowingly, intentionally or in conscious disregard of the law, that it failed to report a regulatory action from the Georgia Department of Insurance in which it entered into a Consent Order, paid a fine and voluntarily surrendered its Georgia non-resident insurance agency license and that such conduct violated Section 374.210.1(1), RSMo (Non Cum. Supp. 2014) and Section 375.141.6, RSMo (Supp. 2013);

NOW, THEREFORE, in lieu of any recommendation or initiation by the Consumer Affairs Division of any action based on the violations cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Martin An Associates does hereby voluntarily and knowingly surrender and forfeit the sum of five hundred dollars ($500.00), such sum to be

paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Martin An Associates shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than November 10, 2015.

The parties agree that, should the Director or the Division in the future allege an additional violation of the insurance laws or regulations by Martin An Associates, nothing in this Agreement shall preclude the Director or the Consumer Affairs Division from introducing Martin An Associates admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 4/7/16

Martin An Associates, LLC
License No. 8046401

By: Ronald E. Martin

Its: owner

DATED: 4/12/16

Carrie Couch, Director
Consumer Affairs Division

DATED: 4/14/16

John M. Huff, Director
Department of Insurance, Financial
Institutions and Professional Registration

Return original to:
Jodi Lehman
Missouri Department of Insurance,
Financial Institutions and Professional Registration
PO Box 4001
Jefferson City, MO 65102