STATE OF MISSOURI

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

In Re: Steven Marshall
P.O. Box 56
Lee's Summit, MO 64063

Tracking Number: 174766(e)

VOLUNTARY FORFEITURE

It is hereby agreed by Steven Marshall (Marshall) and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri, (hereinafter, Director) whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Marshall is licensed as an insurance producer with the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapters 374 and 375, RSMo;

WHEREAS, information has been received by the Consumer Affairs Division that Marshall violated insurance laws by knowingly acting as an insurance producer when not licensed. Such violation is grounds for discipline under Section 375.141.1 (12), RSMo (Supp. 2011) and subjects Marshall to enforcement action by the Director.

WHEREAS, Marshall has been informed of the nature of the violation, of his right to counsel and of his right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to refuse his license, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that he understands his rights to contest any such actions;

NOW, THEREFORE, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to revoke the license of Marshall, or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, Marshall does hereby voluntarily and knowingly surrender and forfeit the sum of **$1,000.00**, such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

Marshall shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than October 15, 2012.

DATED: 10-14-2012

Steven Marshall
Producer No. 8197753

DATED: 10/22/12

Matt Barton, Director
Division of Consumer Affairs

DATED: 10.23.12

John M. Huff, Director
Department of Insurance, Financial
Institutions, and Professional Registration

Please return original to:
Jodi Lehman
Missouri Department of Insurance
PO Box 690
Jefferson City, MO 65102