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MO. DEPT OF INSURANCE, FINANCIAL INSTITUTIONS & PROFESSIONAL REGISTRATION

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: MICHAEL ALEXANDER
1032 AUTUMN LEAF DR
ST. PETERS, MO 63376

TRACKING ID 271313 E

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Michael Alexander ("Alexander") and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374, 375 and 385 RSMo, include the supervision and regulation of the business of Motor Vehicle Extended Service Contracts ("MVESC");

WHEREAS, the Consumer Affairs Division of the Department is charged with investigating producers and companies engaged in the business of MVESC pursuant to Sections 374.085, 374.190 and 385.216, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to MVESC;

WHEREAS, Alexander has applied to receive a resident MVESC producer license with the Department, pursuant to Chapter 385, RSMo;

WHEREAS, the Consumer Affairs Division has received information concerning Alexander unlawfully acting as a producer without a license, which is a violation of Section 385.207.3, RSMo (Supp. 2013), and subjects Alexander to enforcement action by the Director;

WHEREAS, Alexander has been informed of his right to counsel and of his right to contest any attempt by the Department to refuse to issue his MVESC license, and states that he understands his rights to contest any such actions;

AND WHEREAS, Alexander, acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Consumer Affairs Division based on any additional violation of the insurance laws, MVESC laws, or regulations by Alexander, in which action the Director or the Consumer Affairs Division alleges that the violation described herein forms part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Alexander are committed knowingly, intentionally or in conscious disregard of the law, that he unlawfully acted as a producer without a license, and that such conduct violated Section 385.207.3, RSMo (Supp. 2013);

NOW, THEREFORE, in lieu of any recommendation or initiation by the Consumer Affairs Division of any action based on the violation cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Alexander does hereby voluntarily and knowingly surrender and forfeit the sum of two hundred fifty dollars ($250.00), such sum to be paid into the State School Moneys Fund pursuant to Sections

374.046 and 374.280, RSMo.

Alexander shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than July 25, 2016.

The parties agree that, should the Director or the Division in the future allege any additional violation of the insurance laws, MVESC laws, or regulations by Alexander, nothing in this Agreement shall preclude the Director or the Consumer Affairs Division from introducing Alexander's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 7/13/16

Michael Alexander
License no. 8151105

DATED: 7/15/16

Carrie Couch, Director
Consumer Affairs Division

DATED: 7/19/16

John M. Huff, Director
Department of Insurance, Financial
Institutions and Professional Registration

Return original to:
Sheri Sloan
Missouri Department of Insurance,
Financial Institutions and Professional Registration
PO Box 4001
Jefferson City, MO 65102