



**DEPARTMENT OF INSURANCE, FINANCIAL
INSTITUTIONS AND PROFESSIONAL REGISTRATION**

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: STEVEN HALL

TRACKING ID 158643

THE HALL GROUP OF THE OZARKS, LLC
DBA STEVEN L HALL INSURANCE AGENCY
709 N MAIN ST
MOUNTAIN GROVE, MO 65711

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by THE HALL GROUP OF THE OZARKS, LLC and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, THE HALL GROUP OF THE OZARKS, LLC ("Hall") is currently

licensed as a business entity producer by the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapter 375, RSMo;

WHEREAS, the Consumer Affairs Division has received information concerning Hall's failure to provide an adequate and timely response, which is a violation of 20 CSR 100-4.100 (2) (A). Hall was not authorized by the company to sell, solicit or negotiate insurance contracts, which is a violation of Section 375.022.2 RSMo (Supp. 2012). Hall is subject to enforcement action by the Director.

WHEREAS, Hall has been informed of its right to contest any attempt by the Department to discipline its insurance producer license, and states that it understands its rights to contest any such actions;

AND WHEREAS, Hall, acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Consumer Affairs Division based on any additional violation of the insurance laws or regulations by Hall, in which action the Director or the Consumer Affairs Division alleges that the violations described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Hall are committed knowingly, intentionally or in conscious disregard of the law, and that such conduct violated of 20 CSR 100-4.100 (2) (A) and Section 375.022.2 RSMo (Supp. 2012).

NOW, THEREFORE, in lieu of any recommendation or initiation by the Consumer Affairs Division of any action based on the violation(s) cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Hall does hereby voluntarily and knowingly surrender and forfeit the sum of five hundred dollars (\$500.00), such sum to be paid into the State School Moneys Fund pursuant to Sections

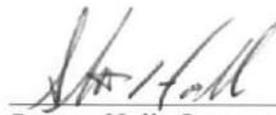
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& PROFESSIONAL REGISTRATION

374.040 and 374.280, RSMo.

Hall shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than December 6, 2013.

The parties agree that, should the Director or the Division in the future allege an additional violation of the insurance laws or regulations by Hall, nothing in this Agreement shall preclude the Director or the Consumer Affairs Division from introducing Hall's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 12/18/2013



Steven Hall, Owner
THE HALL GROUP OF THE OZARKS, LLC
DBA STEVEN L HALL INSURANCE AGENCY
License No. 8022191

DATED: 12.24.13



Matt Barton, Director
Consumer Affairs Division

DATED: 12-27-13



John M. Huff, Director
Department of Insurance, Financial Institutions and
Professional Registration

Return to:
Dennis Fitzpatrick
Department of Insurance, Financial Institutions and Professional Registration
P. O. Box 4001
Jefferson City, MO 65102