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DEPT. OF INSURANCE, FINANCIAL INSTITUTIONS & PROFESSIONAL REGISTRATION

STATE OF MISSOURI

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DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: GUARDIAN INSURANCE GROUP, LLC.
DBA GLOBAL GREEN INSURANCE AGENCY
127 W. CLINTON PL
KIRKWOOD, MO 63122-5809

Our File Number 173067

VOLUNTARY FORFEITURE

It is hereby agreed by GUARDIAN INSURANCE AGENCY, LLC. DBA GLOBAL GREEN INSURANCE AGENCY and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, Director) whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Section 374.085, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, GUARDIAN INSURANCE AGENCY, LLC. DBA GLOBAL GREEN INSURANCE AGENCY is licensed as a business entity producer by the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapter 375, RSMo;

WHEREAS, the investigation by the Consumer Affairs Division revealed GUARDIAN INSURANCE AGENCY, LLC. DBA GLOBAL GREEN INSURANCE AGENCY has violated insurance laws by failing to report the change of a designated responsible licensed producer when the designee's license expired, failed to timely report a change of address and is subject to enforcement action by the Director for the following:

> Violation of Section 375.015.2(2) RSMo (Supp. 2011), The business entity has designated a licensed individual insurance producer to be responsible for compliance with the insurance laws, rules and regulations of this state by the business entity; and
>
> Violation of Section 375.018.7 RSMo (Supp. 2011), Insurance producers shall inform the director by any means acceptable to the director of a change of address within thirty days of the change.
>
> Grounds for discipline exist under Section 375.141.1 RSMo (Supp. 2011), the director may suspend, revoke, refuse to issue or refuse to renew an insurance producer license for any one or more of the following causes:
> (2) Violating any insurance laws, or violating any regulation, subpoena or order of the director or of another insurance commissioner in any other state.

WHEREAS, GUARDIAN INSURANCE AGENCY, LLC. DBA GLOBAL GREEN INSURANCE AGENCY has been informed of the nature of its violations, of its right to counsel and of its right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration to discipline its insurance producer license, or to recover whatever penalties or other monetary relief as may be provided for

by statute, and states that it understands its rights to contest any such actions;

NOW, THEREFORE, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to revoke or suspend the insurance producer license of GUARDIAN INSURANCE AGENCY, LLC. DBA GLOBAL GREEN INSURANCE AGENCY or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, GUARDIAN INSURANCE AGENCY, LLC. DBA GLOBAL GREEN INSURANCE AGENCY, does hereby voluntarily and knowingly surrender and forfeit the sum of $500.00, such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

GUARDIAN INSURANCE AGENCY, LLC. DBA GLOBAL GREEN INSURANCE AGENCY shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration by cashier's check or money order made payable to the Missouri State School Fund no later than November 21, 2012.

DATED: 11/19/2012

RYAN BORLAND, DRLP
GUARDIAN INSURANCE AGENCY
dba GLOBAL GREEN INSURANCE AGENCY
License No. 8024613

DATED: 11/26/12

MATT BARTON
Director, Division of Consumer Affairs

DATED: 11-22-12

JOHN M. HUFF
Director, Department of Insurance, Financial Institutions and Professional Registration

Return to: Dennis Fitzpatrick
Department of Insurance, Financial Institutions & Professional Registration
P. O. Box 4001, Jefferson City, MO 65102