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DEPT. OF INSURANCE, FINANCIAL INSTITUTIONS & PROFESSIONAL REGISTRATION

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: ROGER MATHEWS
EXECUTIVE FINANCIAL PARTNERS
OF KANSAS CITY
1100 MAIN STREET
SUITE 2100
KANSAS CITY, MO 64105

Our File Number 09A000657

VOLUNTARY FORFEITURE

It is hereby agreed by Roger Mathews, President of Executive Financial Partners of Kansas City and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, Director) whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Section 374.085, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance.

WHEREAS, Roger Mathews is licensed as an insurance producer and Executive Financial Partners of Kansas City is a licensed business entity by the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapters 374 and 375, RSMo;

WHEREAS, information has been received by the Consumer Affairs Division concerning your failure to notify the Department of an address change for Executive Financial Partners of Kansas City, a violation of 375.018.7 RSMo (Cum. Supp. 2008), subjecting Roger Mathews and Executive Financial Partners of Kansas City to enforcement action by the Director;

WHEREAS, Roger Mathews and Executive Financial Partners of Kansas City have been informed of the nature of their violations, of his right to counsel and of their right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline their insurance producer licenses, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that Roger Mathews and Executive Financial Partners of Kansas City understands their rights to contest any such actions;

NOW, THEREFORE, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to revoke or suspend the insurance producer license of Roger Mathews and Executive Financial Partners of Kansas City or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, Roger Mathews, and Executive Financial Partners of Kansas City do hereby voluntarily and knowingly surrender and forfeit the sum of $200.00, such sum to be paid into the

Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

Roger Mathews and Executive Financial Partners of Kansas City shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than February 17, 2010.

DATED: 2/1/10

Roger Mathews, Producer

DATED: 2/6/2010

Angela Nelson, Division Director
Consumer Affairs Division

DATED: 2-15-10

John M. Huff, Director
Missouri Department of Insurance, Financial
Institutions and Professional Registration

Return to:
Diana Brady
Missouri Department of Insurance, Financial
Institutions and Professional Registration
P O Box 4001
Jefferson City, MO 65102-4001