# DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: EDWARD "ANDREW" ROHAN
9 THE ORCHARDS LANE
OLIVETTE, MO 63132-4312

TRACKING ID 217603

## VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Edward "Andrew" Rohan and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374, 375, and 384, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Edward "Andrew" Rohan ("Rohan") has a resident individual insurance producer license and resident surplus lines producer license with the Department, pursuant to Chapters 375 and 384, RSMo;

WHEREAS, the Consumer Affairs Division has received information concerning Rohan's failure to file an Appendix 3 Report and his failure to file the report within fifteen days of receiving notice from the Department, which are violations of Sections 374.215.1 and 384.057.1, RSMo (Supp. 2013), and subjects Rohan to enforcement action by the Director;

WHEREAS, Rohan has been informed of his right to counsel and of his right to contest any attempt by the Department to revoke his insurance producer license and surplus lines producer license, and states that he understands his rights to contest any such actions;

AND WHEREAS, Rohan, acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Consumer Affairs Division based on any additional violation of the insurance laws or regulations by Rohan, in which action the Director or the Consumer Affairs Division alleges that the violations described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Rohan are committed knowingly, intentionally or in conscious disregard of the law, that he failed to file the Appendix 3 Report and he failed to file the report within fifteen days of receiving notice by the Department, and that such conduct violated Sections 374.215.1 and 384.057.1, RSMo;

NOW, THEREFORE, in lieu of any recommendation or initiation by the Consumer Affairs Division of any action based on the violations cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Rohan does hereby voluntarily and knowingly

surrender and forfeit the sum of $750.00 (seven hundred fifty dollars), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Rohan shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than May 29, 2014.

The parties agree that, should the Director or the Division in the future allege a different violation of the insurance laws or regulations by Rohan, nothing in this Agreement shall preclude the Director or the Consumer Affairs Division from introducing Rohan's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 6/4/2014

Edward "Andrew" Rohan
License No. 0106583

DATED: 6/13/14

Carrie Couch, Acting Director
Consumer Affairs Division

DATED: 6-24-14

John M. Huff, Director
Department of Insurance, Financial
Institutions and Professional Registration

Return original to:
Angie Gross
Missouri Department of Insurance,
Financial Institutions and Professional Registration
PO Box 4001
Jefferson City, MO 65102

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