DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

Our File Number 08A000340

IN RE: DANIEL M. SUTTON
456 Scottsville Drive
St. Charles, MO 63304

VOLUNTARY FORFEITURE

It is hereby agreed by DANIEL M. SUTTON and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, Linda R. Bohrer, or her successor, is the duly appointed Acting Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, Director) whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Section 374.085, RSMo 2000 and is authorized by the Director to recommend enforcement action

under the laws relating to insurance.

WHEREAS, DANIEL M. SUTTON is licensed as an insurance producer by the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapters 374 and 375, RSMo;

WHEREAS, the investigation by the Consumer Affairs Division revealed DANIEL M. SUTTON violated insurance law and is subject to enforcement action by the Director for the following:

Violation of Section 375.141.1(8), RSMo (Cum Supp. 2007) by using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness or financial irresponsibility in the conduct of business in this state or elsewhere; and

Violation of Section 375.141.1(10), RSMo (Cum Supp. 2007) by signing the name of another to an application for insurance or to any document related to an insurance transaction without authorization; which provide grounds for discipline under Section 375.141.1(2) RSMo (Cum Supp 2007).

WHEREAS, DANIEL M. SUTTON has been informed of the nature of his violations, of his right to counsel and of his right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline his insurance producer license, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that he understands his rights to contest any such actions;

NOW, THEREFORE, in complete settlement of the investigation in File Number 08A000340, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to suspend or revoke the insurance producer license of DANIEL M. SUTTON or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, DANIEL M. SUTTON, without any admission of a violation of the law, does hereby voluntarily and knowingly surrender and forfeit the sum

of one thousand dollars ($1,000.00), such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo (Cum Supp.2007).

DANIEL M. SUTTON shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than **August 5, 2008**.

DATED: 8-9-08

LINDA BOHRER
Acting Director

DATED: 7-30-2008

DANIEL M. SUTTON
Producer

DATED: 8/6/08

MARY KEMPKER
Director of Consumer Affairs

Return to:
Department of Insurance, Financial Institutions
& Professional Registration
Attn: Ron Harrod
P. O. Box 4001
Jefferson City, MO 65102