



**DEPARTMENT OF INSURANCE, FINANCIAL
INSTITUTIONS AND PROFESSIONAL REGISTRATION**

P.O. Box 690, Jefferson City, Mo. 65102-0690

In the Matter of:)
) Case No. 131029574C
David S. Steinbruegge,)
)
Respondent.)

CONSENT ORDER

John M. Huff, Director of the Department of Insurance, Financial Institutions and Professional Registration takes up the above matter for consideration and disposition. The Consumer Affairs Division, through legal counsel Kelly A. Hopper, and Respondent David S. Steinbruegge, have reached a settlement in this matter and have consented to the issuance of this Consent Order.

1. John M. Huff is the duly appointed Director of the Missouri Department of Insurance, Financial Institutions and Professional Registration ("Director" of the "Department") whose duties, pursuant to Chapters 374 and 375, RSMo,¹ include the supervision, regulation and discipline of insurance producers.

¹ Unless otherwise specified, all statutory references are to the 2013 Supplement to the Revised Statutes of Missouri.

2. The Consumer Affairs Division ("Division") has the duty of conducting investigations into the acts of insurance producers under the insurance laws of this state and is authorized by the Director to investigate and to recommend enforcement action for violations of the insurance laws of this state.

3. On or about September 5, 2013, the Department received a complete electronic resident insurance producer license application ("Application") from David S. Steinbruegge ("Steinbruegge"). Steinbruegge disclosed the following felony conviction with his Application:

- a. On or about November 21, 2003, Steinbruegge arranged an illegal drug transaction at his home between two parties: the seller, JN, and the putative buyer, CI (a confidential informant for the St. Charles County Regional Drug Task Force). *United States v. David Scott Steinbruegge et al.*, Case No. 04-04015-01/05-CR-C-NKL (W.D. Mo. 2005).
- b. JN arrived at Steinbruegge's house on the night of November 21, 2003, with approximately seven ounces of marijuana, approximately seven ounces of cocaine, 100 diazepam tablets, and a loaded handgun. Both parties (JN and Steinbruegge) were immediately approached by law enforcement authorities. *Id.*
- c. Steinbruegge subsequently cooperated with the authorities. *Id.*
- d. On or about March 12, 2004, a six-count indictment was filed in the Western District of Missouri against Steinbruegge and four other codefendants. Steinbruegge was charged with Conspiracy to Distribute

Controlled Substance, a felony, under count one of the indictment. No further charges in the indictment pertained to Steinbruegge. *Id.*

e. On or about February 16, 2005, Steinbruegge pled guilty to Conspiracy to Distribute Cocaine and Marijuana, a felony, in violation of 21 U.S.C. § 841(a)(1) and (b)(1)(C) (2000) and 21 U.S.C. § 846 (2000). *Id.*

f. On or about July 14, 2005, the United States District Court for the Western District of Missouri sentenced Steinbruegge to nine months in the custody of the United States Bureau of Prisons, to be followed by three years' probation. *Id.*

g. On or about June 8, 2009, Steinbruegge completed his probation. *Id.*

4. Steinbruegge acknowledges and understands that under § 375.141.1(6) the Director may refuse to issue an insurance producer license to Steinbruegge because Steinbruegge has been convicted of a felony.

5. Steinbruegge acknowledges and understands that under § 375.141.1(6) the Director may refuse to issue an insurance producer license to Steinbruegge because Steinbruegge has been convicted of a crime involving moral turpitude.

6. Steinbruegge acknowledges and understands that he has the right to consult counsel at his own expense.

7. Steinbruegge acknowledges and understands that this Consent Order is an administrative action and will be reported by the Department to other states. Steinbruegge further acknowledges and understands that this administrative action should be disclosed on future applications and renewal applications and that it is his responsibility to comply with the reporting requirements of each state in which he is or may become licensed.

8. Steinbruegge stipulates and agrees to waive any waivable rights that he may have to a hearing before the Administrative Hearing Commission or the Director, and any rights to seek judicial review or other challenge or contest of the terms and conditions of this Consent Order and forever releases and holds harmless the Department, the Director and his agents, and the Division from all liability and claims arising out of, pertaining to, or relating to this matter.

9. Each signatory to this Consent Order certifies by signing that he or she is fully authorized, in his or her own capacity, or by the named party he or she represents, to accept the terms and provisions of this Consent Order in their entirety, and agrees, in his or her personal or representational capacity, to be bound by the terms of this Consent Order.

Conclusions of Law

10. The actions admitted by Steinbruegge are grounds to refuse to issue him a Missouri insurance producer license pursuant to § 375.141.1(6).

11. The Director may impose orders in the public interest under § 374.046.

12. The terms set forth in this Consent Order are an appropriate disposition of this matter and entry of this Consent Order is in the public interest.

Settlement Terms

IT IS ORDERED that the Department will issue an insurance producer license to Respondent David S. Steinbruegge subject to the conditions set forth herein and the following special conditions:

1. Respondent David S. Steinbruegge shall report to the Department any probation violation, probation revocation, citation, arrest, prosecution, guilty

plea, nolo contendere plea, finding of guilt or conviction concerning a felony or misdemeanor, within five (5) business days of such event.

2. Respondent David S. Steinbruegge shall report to the Department any administrative action taken against Steinbruegge in another jurisdiction or by another governmental agency in this state within five (5) business days after he receives notification of the initiation of such administrative action.
3. Respondent David S. Steinbruegge shall report to the Consumer Affairs Division any violation of or failure to comply with the laws set forth in Chapters 374 and 375, and in the insurance regulations, within five (5) business days of such violation or failure to comply.
4. Respondent David S. Steinbruegge will voluntarily surrender his license to the Department within five (5) business days of Steinbruegge's entry of a guilty plea, nolo contendere plea, finding of guilt, or conviction for a felony or crime of moral turpitude, regardless of whether sentence is imposed, suspended, or executed.
5. The special conditions listed in paragraphs 1 through 4 will expire two years from the date of this Consent Order, unless the Director shall bring an action discipline Respondent's David S. Steinbruegge's license during that time.

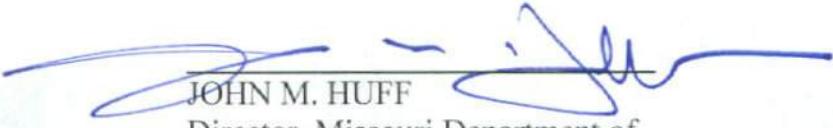
IT IS FURTHER ORDERED that if Respondent David S. Steinbruegge maintains his insurance producer license and complies with the terms of this Consent Order, then Steinbruegge may apply to renew his license and the Director shall consider the renewal application in accordance with Chapters 374 and 375 without regard to Steinbruegge's prior

felony, or the underlying conduct, in *United States v. David Scott Steinbruegge et al.*, Case No. 04-04015-01/05-CR-C-NKL (W.D. Mo. 2005).

IT IS FURTHER ORDERED that the Director may pursue additional legal remedies, as necessary and without limitation, as authorized by Chapters 374 and 375, RSMo.

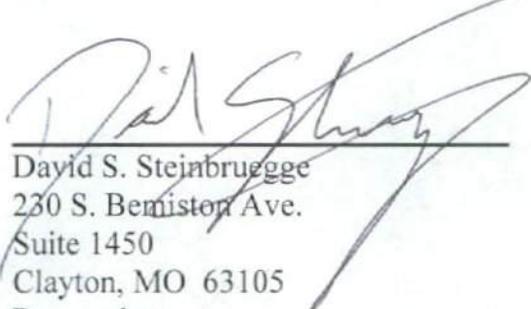
SO ORDERED, SIGNED AND OFFICIAL SEAL AFFIXED THIS 14TH DAY OF APRIL, 2014.




JOHN M. HUFF
Director, Missouri Department of
Insurance, Financial Institutions and
Professional Registration

CONSENT AND WAIVER OF HEARING

The undersigned persons understand and acknowledge that Respondent David S. Steinbruegge may have the right to a hearing, but that Steinbruegge has waived the hearing and consented to the issuance of this Consent Order.



David S. Steinbruegge
230 S. Bemiston Ave.
Suite 1450
Clayton, MO 63105
Respondent

4/8/14
Date

Counsel for Respondent
Name: _____
Missouri Bar No. _____
Address: _____

Telephone: _____

Date



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4/11/2014
Date