STATE OF MISSOURI

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JUL 17 2015
MO. DEPT OF INSURANCE, FINANCIAL INSTITUTIONS & PROFESSIONAL REGISTRATION

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: BRIAN L. WOODS, SR.
12034 WESHIRE PL
MARYLAND HEIGHTS, MO 63043

TRACKING ID 226616

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VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Brian Woods ("Woods") and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Woods has applied to be reinstated as a resident producer by the

Department, pursuant to Chapter 375, RSMo;

WHEREAS, the Consumer Affairs Division has received information concerning Woods failure to remit premium payments to an insurance company within thirty (30) days of acceptance, which is a violation of 20 CSR 700-1.140 (1) (D); accepted a premium payment without selecting an insurer, which is a violation of 20 CSR 700-1.140 (4) (D); operated a business entity without the required licensure, which is a violation of Section 375.015.2 RSMo (Supp. 2013); and subjects Woods to enforcement action by the Director;

WHEREAS, Woods has been informed of his right to counsel and of his right to contest any attempt by the Department to discipline his insurance producer license, and states that he understands his rights to contest any such actions;

AND WHEREAS, Woods acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Consumer Affairs Division based on an additional violation of the insurance laws or regulations by Woods, in which action the Director or the Consumer Affairs Division alleges that the violations described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Woods are committed knowingly, intentionally or in conscious disregard of the law, that he failed to remit premium payments to an insurance company within thirty (30) days of acceptance, which is a violation of 20 CSR 700-1.140 (1) (D); accepted a premium payment without selecting an insurer, which is a violation of 20 CSR 700-1.140 (4) (D); operated your business entity without the required licensure, which is a violation of Section 375.015.2 RSMo (Supp. 2013).

NOW, THEREFORE, in lieu of any recommendation or initiation by the Consumer Affairs Division of any action based on the violations cited in this Agreement, and after being

afforded the opportunity to consult legal counsel, Woods does hereby voluntarily and knowingly surrender and forfeit the sum of one thousand two hundred and fifty dollars ($1,250.00), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Woods shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than June 16, 2015.

The parties agree that, should the Director or the Division in the future allege an additional violation of the insurance laws or regulations by Woods nothing in this Agreement shall preclude the Director or the Consumer Affairs Division from introducing Woods's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 7/11/15

Brian L. Woods
License No. 226137

DATED: 7/17/15

Carrie Couch, Acting Director
Consumer Affairs Division

DATED: 7/20/15

John M. Huff, Director
Department of Insurance, Financial
Institutions and Professional Registration