RECEIVED
AUG 17 2015
MO. DEPT OF INSURANCE, FINANCIAL INSTITUTIONS & PROFESSIONAL REGISTRATION

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: BRENDEN SULLIVAN
1157 SPENCER HILL DRIVE
ST. PETERS MO 63376

TRACKING ID 273627 E

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Brenden Sullivan ("Sullivan") and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John M. Huff, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374, 375 and 385 RSMo, include the supervision and regulation of the business of Motor Vehicle Extended Service Contracts ("MVESC");

WHEREAS, the Consumer Affairs Division of the Department is charged with investigating producers and companies engaged in the business of MVESC pursuant to Sections 374.085, 374.190 and 385.216, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to MVESC;

WHEREAS, Sullivan has applied to receive a MVESC producer license with the Department, pursuant to Chapter 385, RSMo;

WHEREAS, the Consumer Affairs Division has received information concerning Sullivan's misstatement on his application, which is a violation of Section 374.210.1, RSMo (Non Cum. Supp. 2014), and subjects Sullivan to enforcement action by the Director;

WHEREAS, Sullivan has been informed of his right to counsel and of his right to contest any attempt by the Department to refuse his MVESC producer license application, and states that he understands his rights to contest any such actions;

AND WHEREAS, Sullivan acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Consumer Affairs Division based on any additional violation of the insurance laws, MVESC laws, or regulations by Sullivan, in which action the Director or the Consumer Affairs Division alleges that the violations described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Sullivan are committed knowingly, intentionally or in conscious disregard of the law, that he provided materially incorrect, misleading, incomplete or untrue information in the license applications, and that such conduct violated Section 374.210.1, RSMo (Non Cum. Supp. 2014);

NOW, THEREFORE, in lieu of any recommendation or initiation by the Consumer Affairs Division of any action based on the violations cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Sullivan does hereby voluntarily and knowingly surrender and forfeit the sum of two hundred and fifty dollars ($250.00), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Sullivan shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than August 16, 2016.

The parties agree that, should the Director or the Division in the future allege any additional violation of the insurance laws, MVESC laws, or regulations by Sullivan, nothing in

this Agreement shall preclude the Director or the Consumer Affairs Division from introducing Sullivan's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 8/11/16

Brenden Sullivan
Applicant

DATED: 8/17/16

Carrie Couch, Director
Consumer Affairs Division

DATED: 8/25/16

John M. Huff, Director
Department of Insurance, Financial Institutions
and Professional Registration

Return original to:
Andrew Engler
Missouri Department of Insurance,
Financial Institutions and Professional Registration
PO Box 4001
Jefferson City, MO 65102