



DIVISION OF INSURANCE COMPANY REGULATION

Frederick G. Heese, Chief Financial Examiner & Division Director

**NOTICE TO ALL ELIGIBLE SURPLUS LINES CARRIERS
DECEMBER 2010**

The Department of Insurance, Financial Institutions and Professional Registration requires each non-admitted insurer eligible to write surplus lines business to provide to the Director of Insurance, Financial Institutions and Professional Registration the following items to maintain qualification as a listed Eligible Surplus Line Carrier. **All items are due on or before six months after the close of the period reported upon.**

1. **Each insurer shall file** with the NAIC a copy of the current annual statement and sworn, signed jurat page certified by such insurer no more than six months after the close of the period reported upon and which is either:
 - (a) Filed with and approved by the regulatory authority in the domicile of the non-admitted insurer; or
 - (b) Certified by an accounting or auditing firm licensed in the jurisdiction of the insurer's domicile; or
 - (c) In the case of an insurance exchange, the statement may be an aggregate combined statement of all underwriting syndicates operating during the period reported.
2. **Each non-admitted insurer is to submit** a listing of all Missouri business. This listing is to include the name and address of the producer, name and address of the insured, policy number, effective date of the coverage and premium amount. Please submit this document by e-mail to Surpluslines@insurance.mo.gov. Please provide the contact information should we need assistance with the information provided.
3. 384.061. The five percent tax on net premiums imposed by sections 384.051 and 384.059 shall be levied only upon risks or portions of risks which are located within this state. If a surplus lines policy covers risks only partially located in this state, the tax payable shall be computed on the portions of the premium properly allocable to that portion of the risks located in this state..... Please advise all producers who procure insurance from your company that when there is Missouri exposure they must allocate to be in compliance with Missouri law no matter how minimum the exposure.

Should you need assistance or have any questions regarding the listing of Missouri business contact Sarah Verhoff at 573-751-0669, questions regarding the jurat page or annual statement contact Cindy Monroe at 573-751-4362.