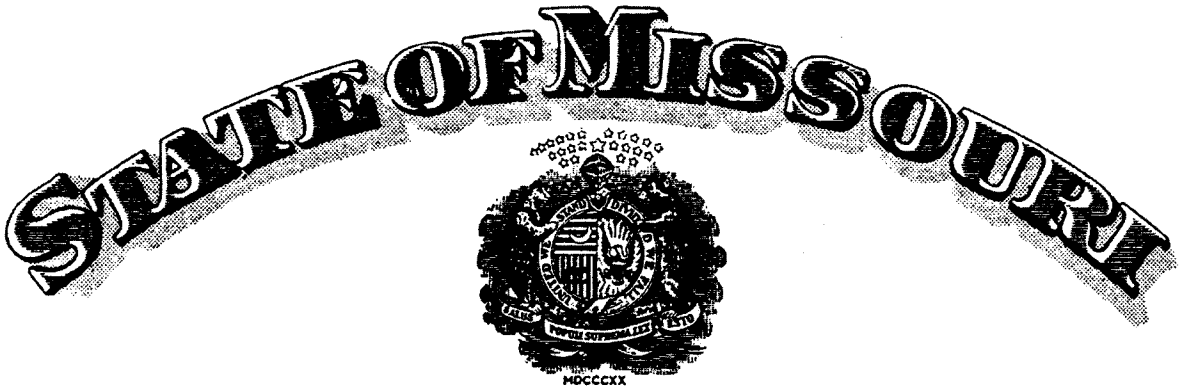


# DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

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|---|---|---|
| **In the Matter of:** | ) | |
| | ) | **Case No. 06-1012312C** |
| **ERICCA WILLIS** | ) | |
| | ) | |
| **Respondent.** | ) | |

## CONSENT ORDER

DOUGLAS M. OMMEN, Director of the Missouri Department of Insurance, Financial Institutions and Professional Registration, after reviewing the stipulations set forth herein, hereby issues the following findings of fact and conclusions of law, and order:

## Findings of Fact

1. Douglas M. Ommen is the duly appointed Director of the Missouri Department of Insurance, Financial Institutions and Professional Registration ("Director") whose duties, pursuant to Chapter 375, RSMo, include supervision, regulation, and discipline of insurance producers.

2. Respondent Ericca Willis ("Willis") filed an application for an individual Missouri producer license on May 9, 2006, seeking licensure as an insurance producer.

3. The Division of Consumer Affairs has alleged that:

A. On or about May 9, 2006, Willis submitted an application for an Individual Insurance Producer License in which she answered "no" to question #1, "Have you ever been convicted of, or are you currently charged with, committing a crime, whether or not adjudication was held?" Question #1 defines "Crime" as "a misdemeanor, felony, or military offense".

B. A subsequent background investigation by the Department revealed that on or about December 28, 1993, Willis was charged in the Municipal Court of California, County of San Diego with three felony complaints of Aid By Misrepresentation – Over $400, and two counts of Perjury By False Application For Aid.

C. On or about September 30, 1994, Willis was placed on five (5) years unsupervised probation, given community service, and ordered to pay restitution. Willis satisfactorily completed probation.

4. Willis and the Division of Consumer Affairs desire to settle the allegations and matters raised by the Division.

5. Willis and the Division of Consumer Affairs consent to the issuance of this order. Willis agrees to not contest the sufficiency of terms of this final administrative order.

## Conclusions of Law

9. The Director may impose orders in the public interest under § 374.046 RSMo.

## ORDER

IT IS NOW, THEREFORE, ORDERED that Willis shall not violate § 374.210, RSMo by providing any false or incomplete information in any filing with the Director.

IT IS FURTHER ORDERED that pursuant to the Insurance Fraud Prevention Act of 1994, 18 U.S.C. § 1033(e)(2), the Director waives Willis' disqualification under federal law.

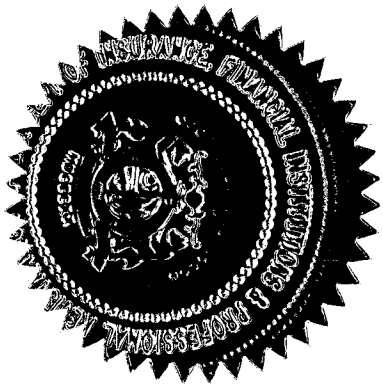
## Forfeiture

IT IS FURTHER ORDERED, that Ericca Willis shall pay the amount of three hundred dollars ($300.00) as a forfeiture pursuant to Section 374.280, RSMo (2000), payable to the Missouri State School Fund. The Director shall forward these funds to the state treasury for the benefit of county and township school funds as provided in Section 374.280.2, RSMo (2000), and Article IX, Section 7 of the Constitution of Missouri.

IT IS FURTHER ORDERED that such payments shall be due and payable and Willis shall pay the amount ordered above in satisfaction of this order in three (3) equal

monthly installments of one hundred dollars ($100.00), the first of which must be received by the department on or before May 1, 2007. The second installment must be received by the department by June 1, 2007, and the third installment must be received by the department by July 1, 2007. If Ms. Willis fails to make the payments under the terms of this provision, the state of Missouri may pursue additional legal remedies to enforce this order and collect the unpaid balance of this order. All payments ordered above shall be delivered to the attention of Tamara A. Wallace, Missouri Department of Insurance, Financial Institutions and Professional Registration, P.O. Box 4001, Jefferson City, Missouri 65102. Any correspondence and/or checks shall reference the above cited case number.

SO ORDERED, SIGNED AND OFFICIAL SEAL AFFIXED THIS 3rd DAY OF August, 2007.



Douglas M. Ommen, Director
Missouri Department of Insurance,
Financial Institutions and
Professional Registration

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## CONSENT AND WAIVER OF HEARING

The undersigned persons understand and acknowledge the Respondent has the right to a hearing, but the Respondent has waived the hearing and consented to the issuance of this consent order.

Ericca Willis
Respondent
P.O. Box 56702
St. Louis, Missouri 63156
Telephone: (314) 805-0505

5-4-07
Date

Tamara A. Wallace
Enforcement Counsel
Missouri Bar No. 59020
Department of Insurance, Financial
Institutions and Professional Registration
301 West High Street, Room 530
Jefferson City, MO 65101
Telephone: (573) 751-2619
Facsimile: (573) 526-5492

5-4-07
Date