



## Division of Insurance Company Regulation

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### NOTICE TO ALL ELIGIBLE SURPLUS LINES CARRIERS

The Department of Commerce and Insurance requires each non-admitted insurer eligible to write surplus lines business to provide to the Director of Commerce and Insurance the following items to maintain qualification as a listed Eligible Surplus Line Carrier. **All items are due on or before six months after the close of the period reported upon.**

1. **Each insurer shall file with the NAIC** a copy of the current annual statement and sworn, signed jurat page certified by such insurer no more than six months after the close of the period reported upon and which is either:
  - a. Filed with and approved by the regulatory authority in the domicile of the non-admitted insurer; or
  - b. Certified by an accounting or auditing firm licensed in the jurisdiction of the insurer's domicile; or
  - c. In the case of an insurance exchange, the statement may be an aggregate combined statement of all underwriting syndicates operating during the period reported.
2. **Each non-admitted insurer is to submit** a listing of all Missouri business to the Department. This listing is to include the name of the agency, producer name and address of the agency or producer, name and address of the insured, effective date, policy number and total gross premium amount. There is a sample spreadsheet located at [Missouri Surplus Lines Business Report](#) for your use.
3. Please submit these documents by e-mail to [Surpluslines@insurance.mo.gov](mailto:Surpluslines@insurance.mo.gov). Please provide the contact information should we need assistance with the information provided.

384.061.1. Notwithstanding any other provision of this chapter or regulation implementing a provision of this chapter, the five percent tax on net premiums imposed by sections 384.051 and 384.059 shall be levied upon and only upon the entire gross premium for non-admitted or surplus lines insurance policies for which the home state of the insured is Missouri.

